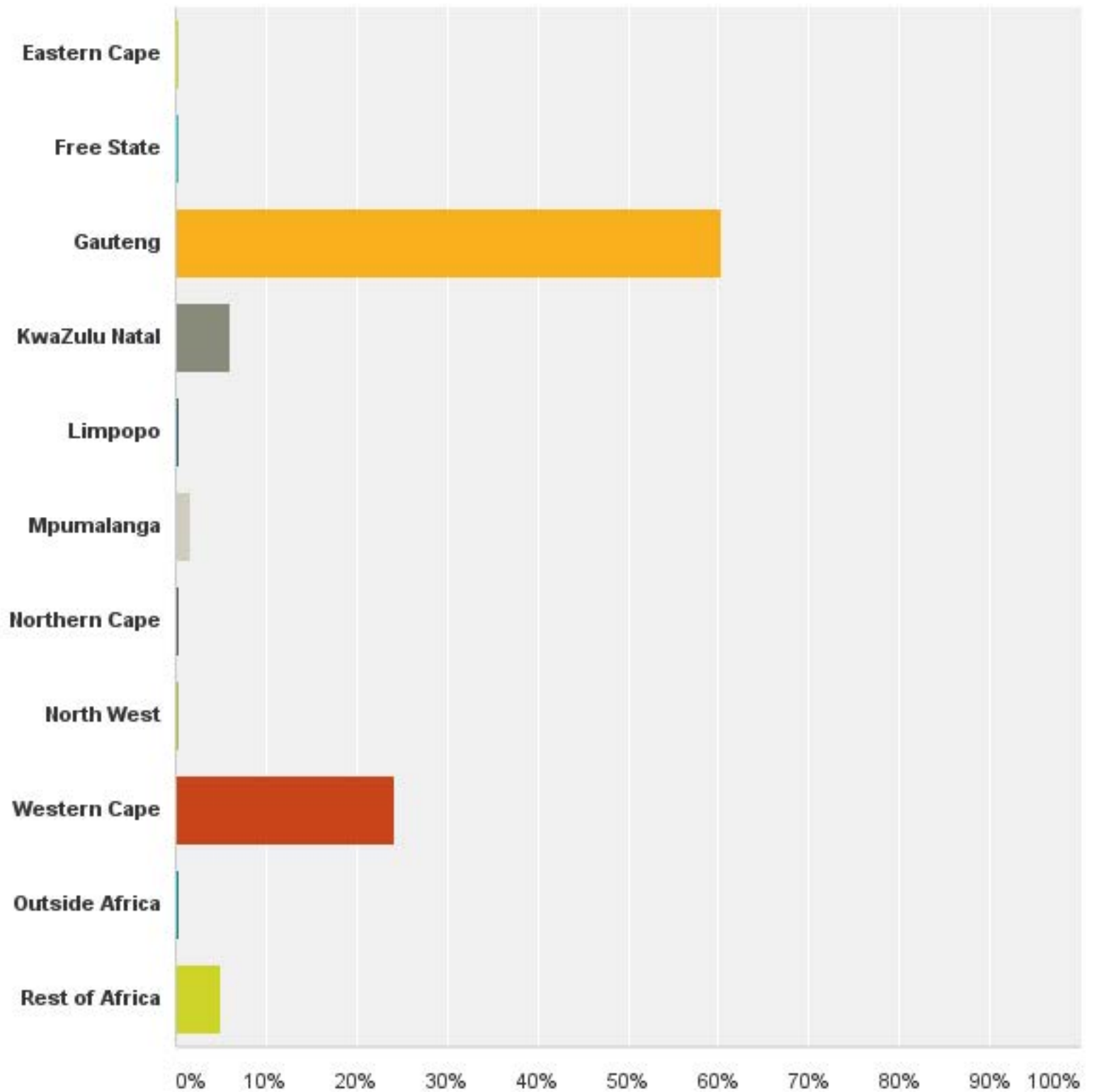


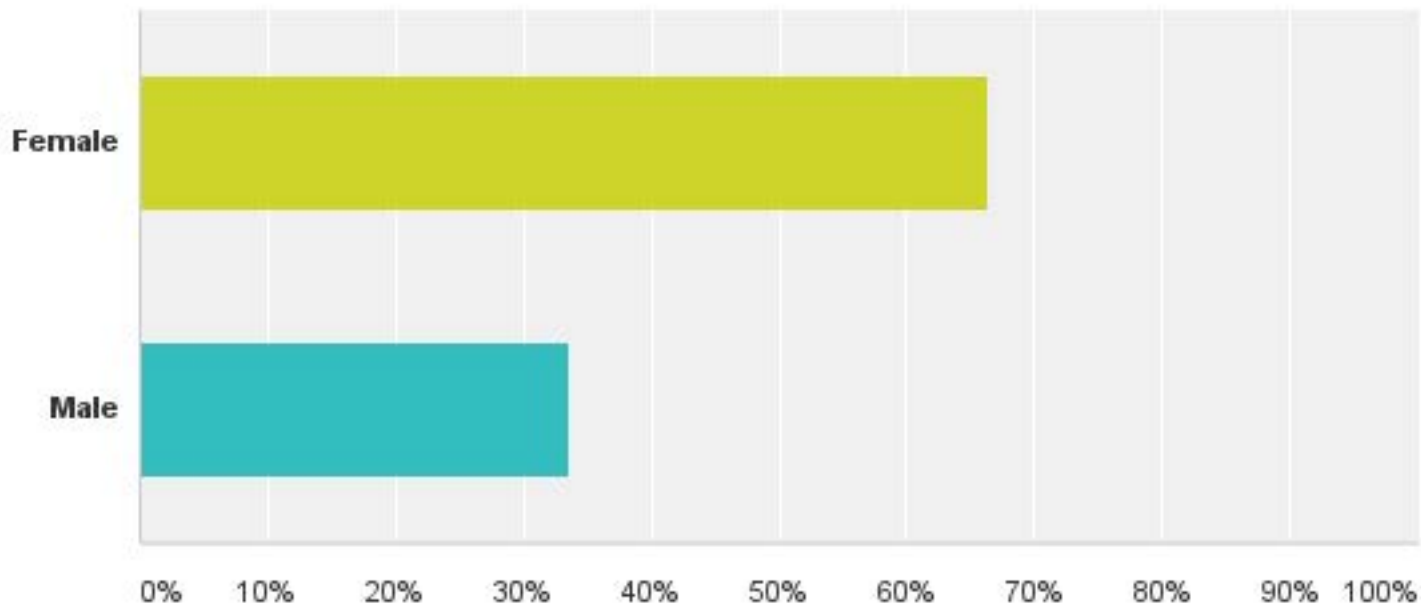
Q1 Where are you located?

Answered: 235 Skipped: 0



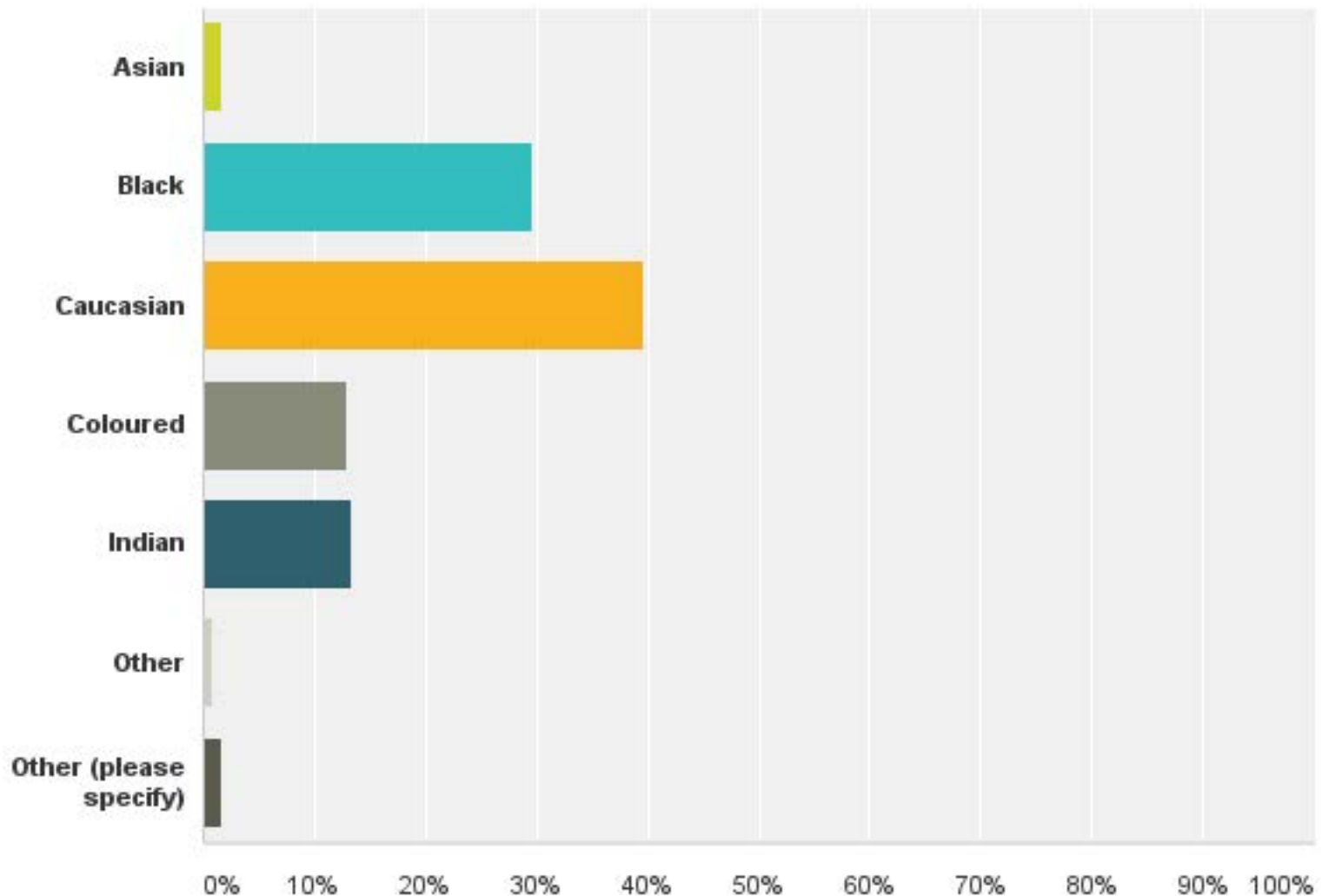
Q2 What is your gender?

Answered: 235 Skipped: 0



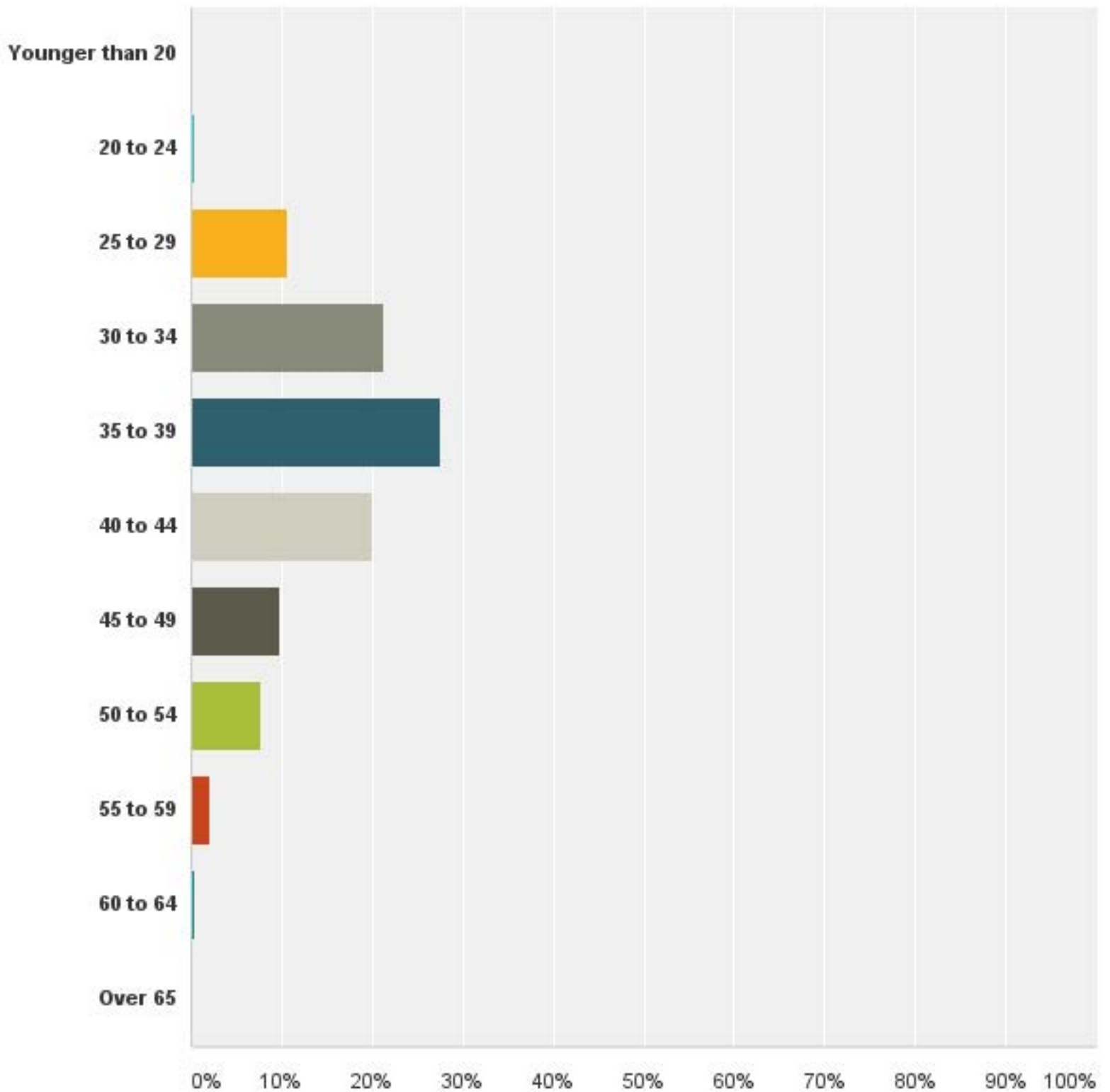
Q3 To which ethnic group do you belong? This question is purely for statistical purposes but is not compulsory.

Answered: 232 Skipped: 3



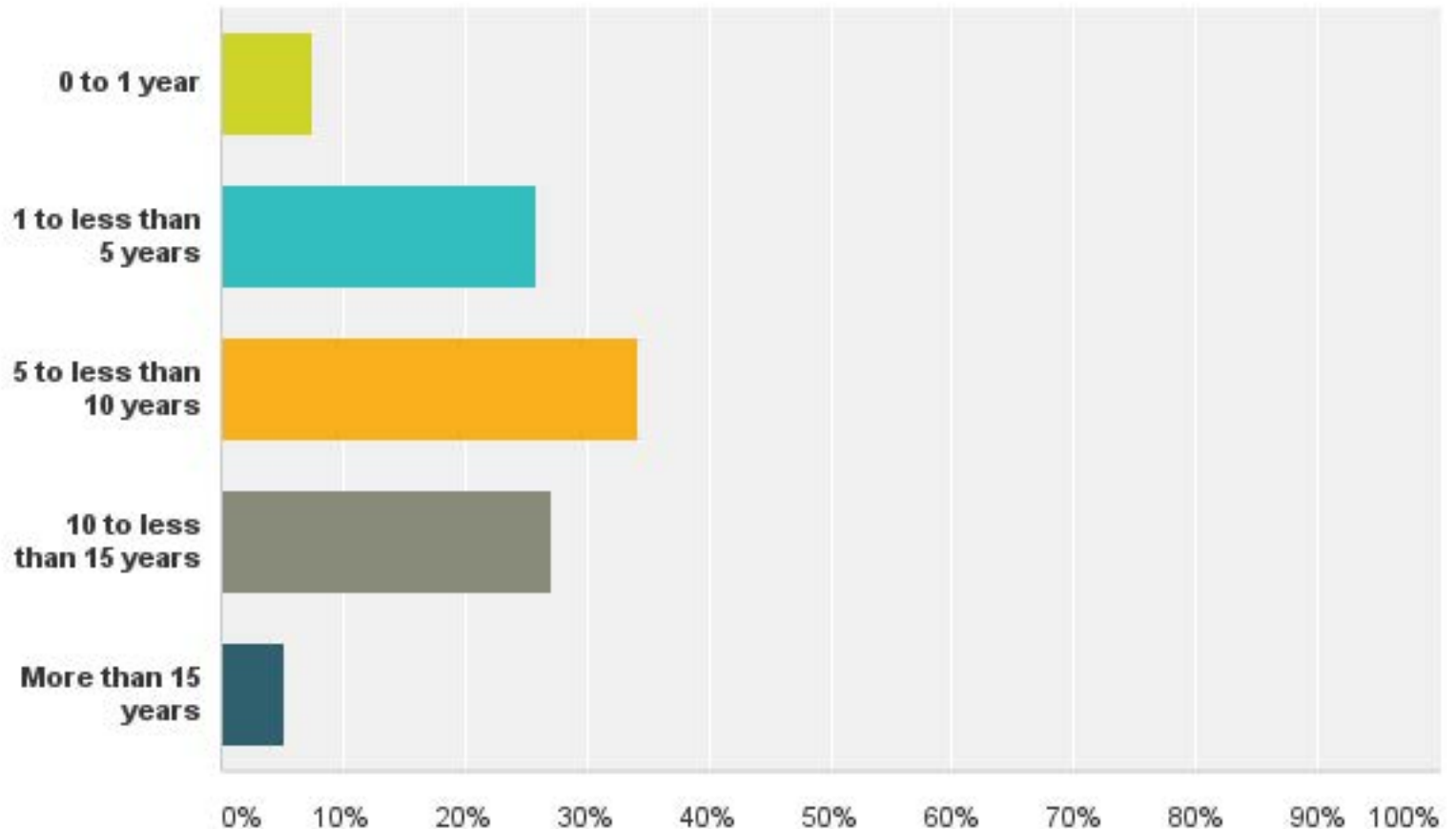
Q4 To which age group do you belong?

Answered: 235 Skipped: 0



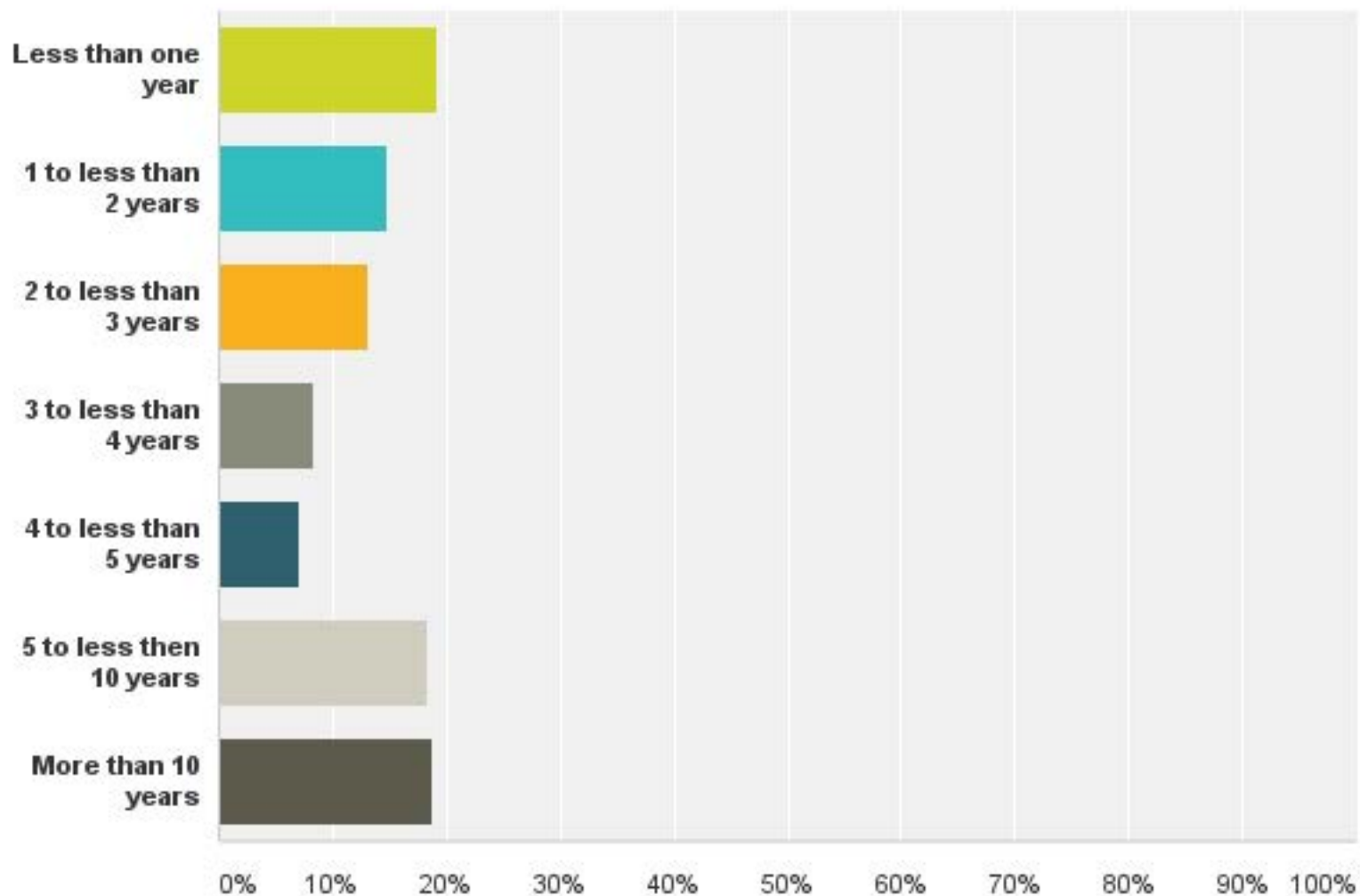
Q5 How many years of compliance experience do you have in total? This does not have to be consecutive.

Answered: 228 Skipped: 7



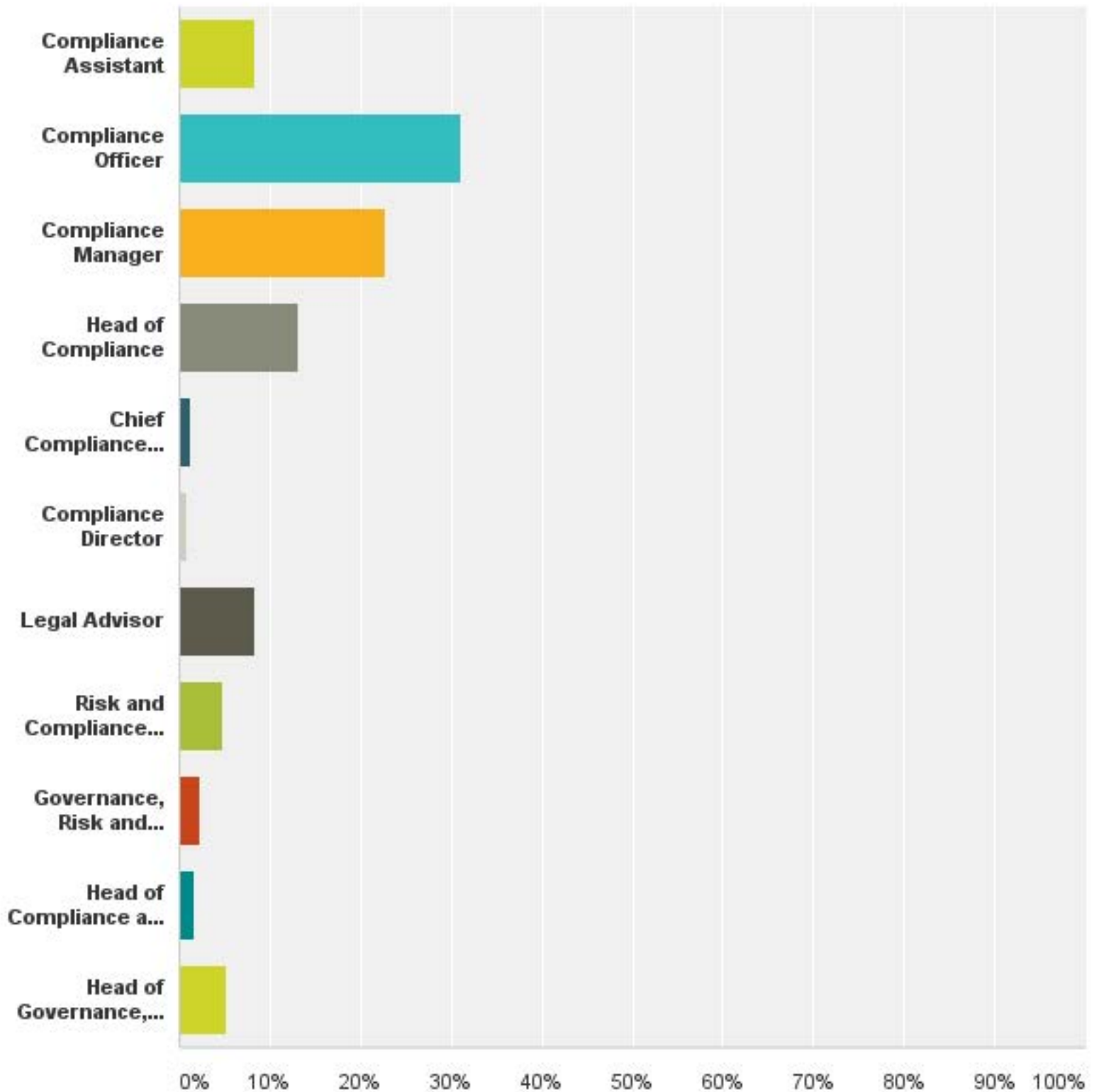
Q6 How long have you worked for your current employer?

Answered: 228 Skipped: 7



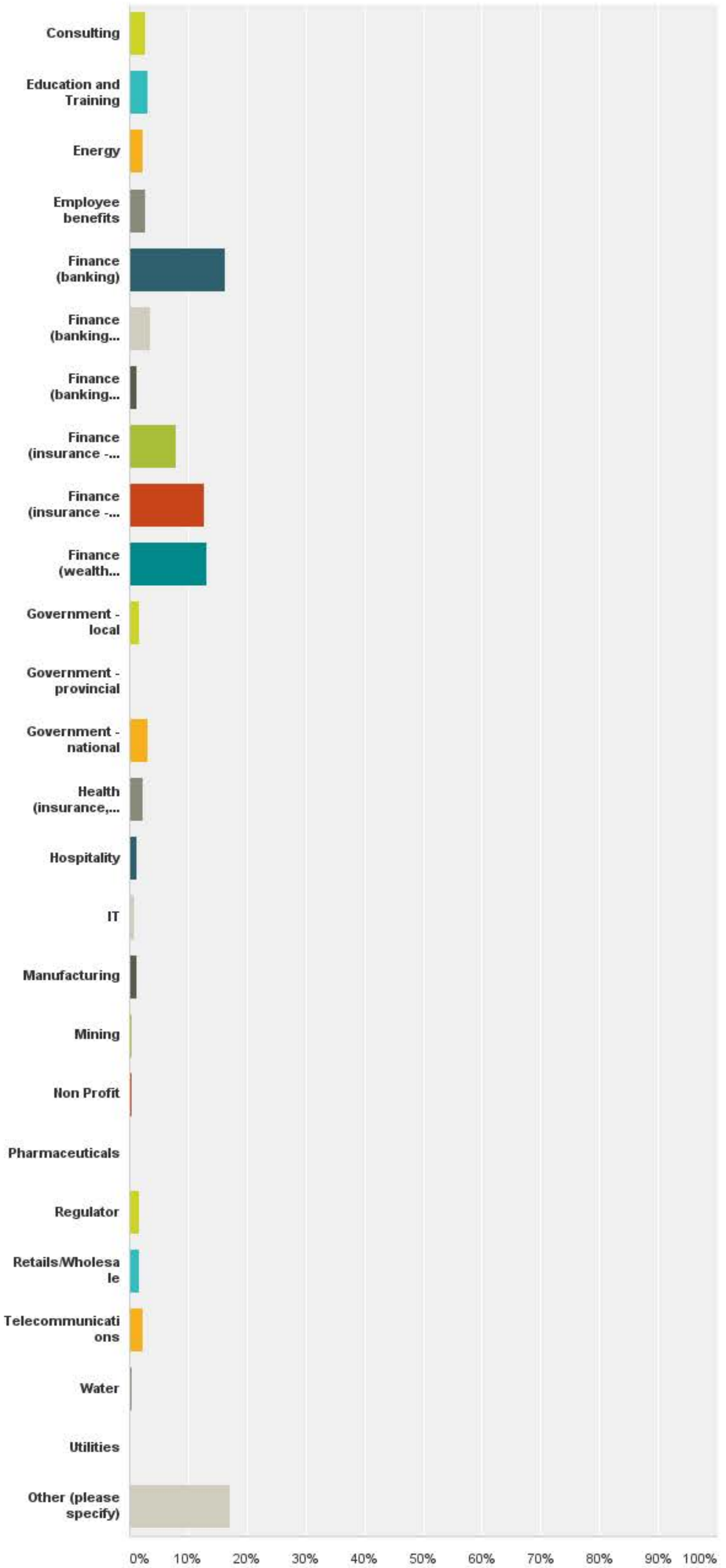
Q7 Which job title would BEST describe your current position?

Answered: 228 Skipped: 7



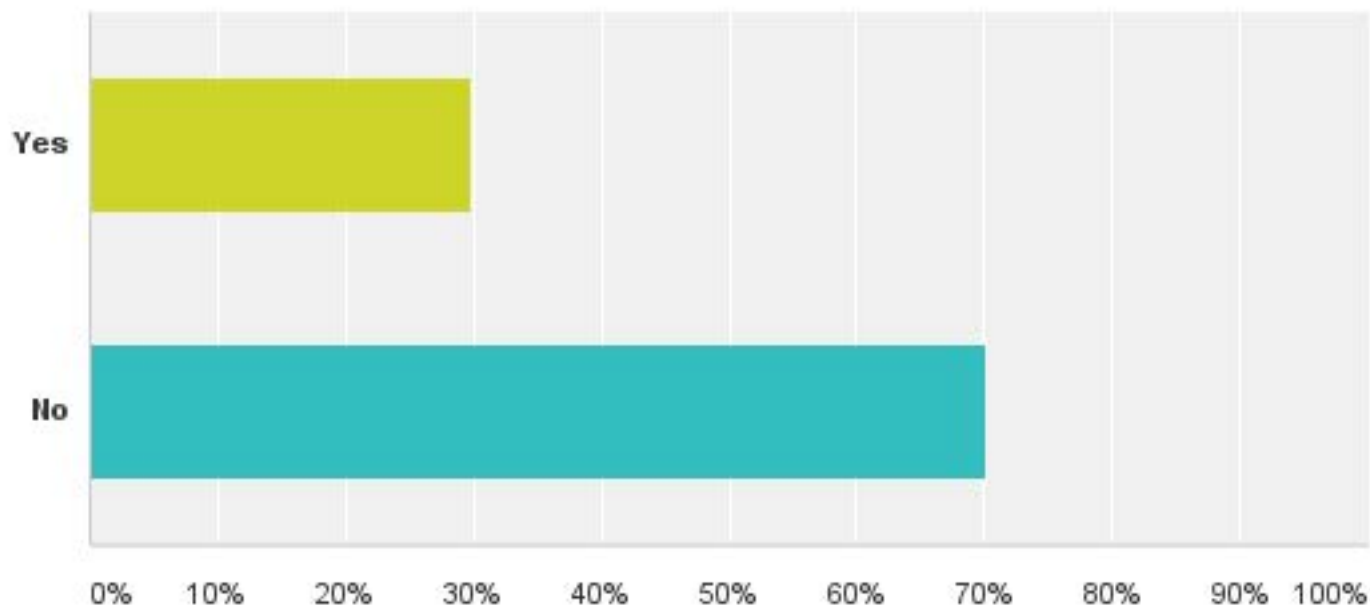
Q8 In what sector or industry does your organisation primarily operate?

Answered: 228 Skipped: 7



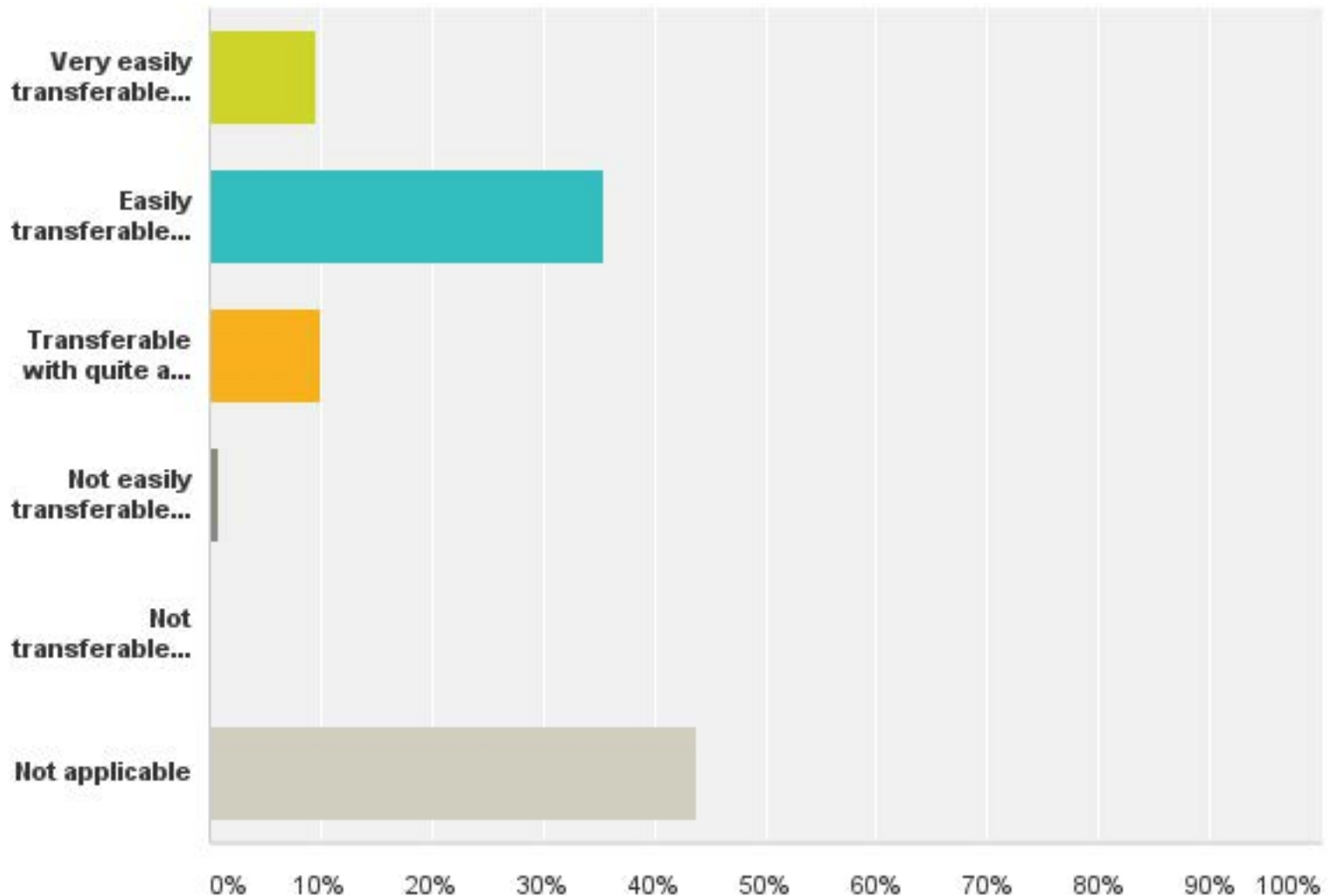
Q9 Have you been employed in more than one industry or sector as a compliance officer?

Answered: 228 Skipped: 7



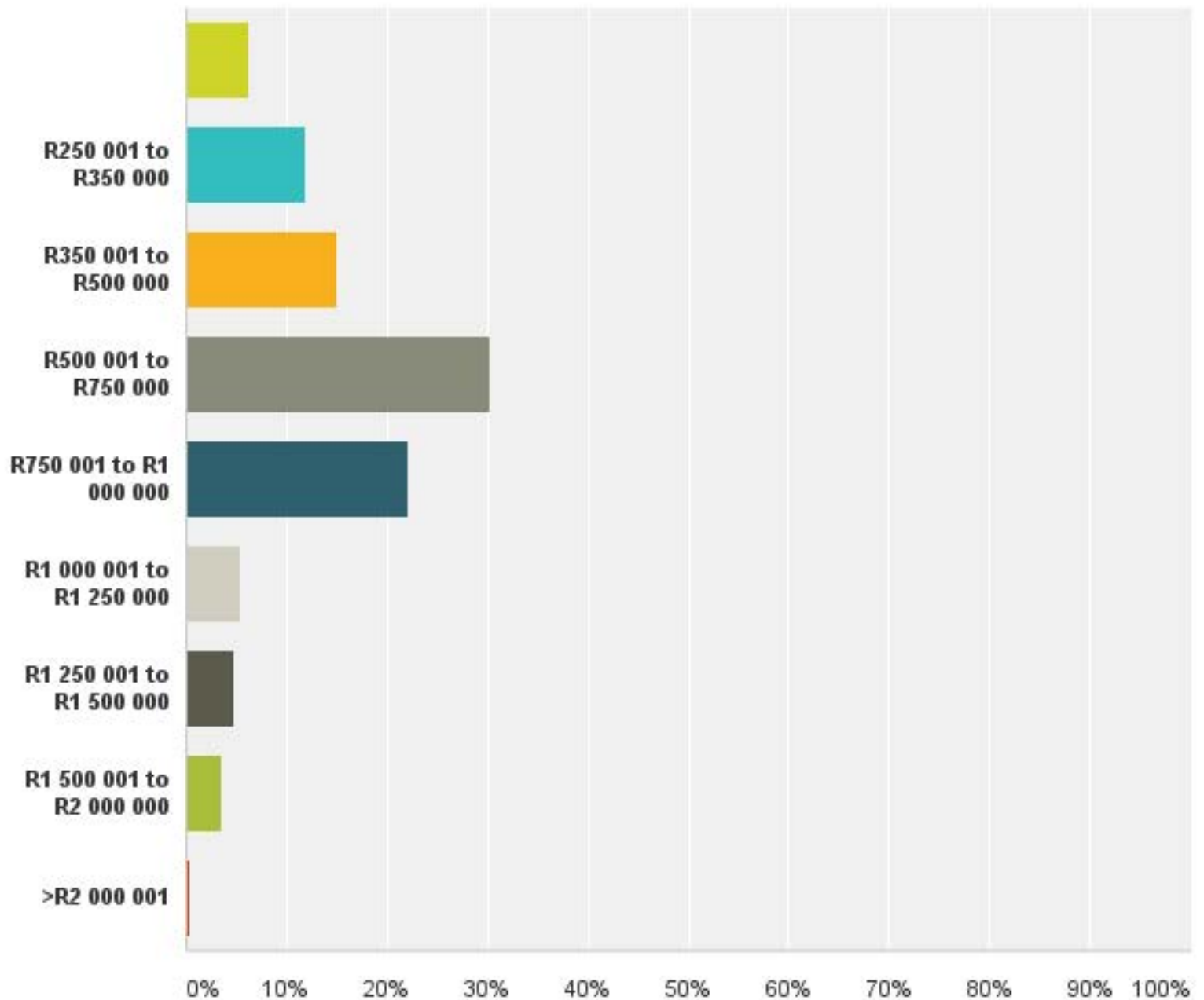
Q10 Have you found your skills easily transferable between industries or sectors?

Answered: 228 Skipped: 7



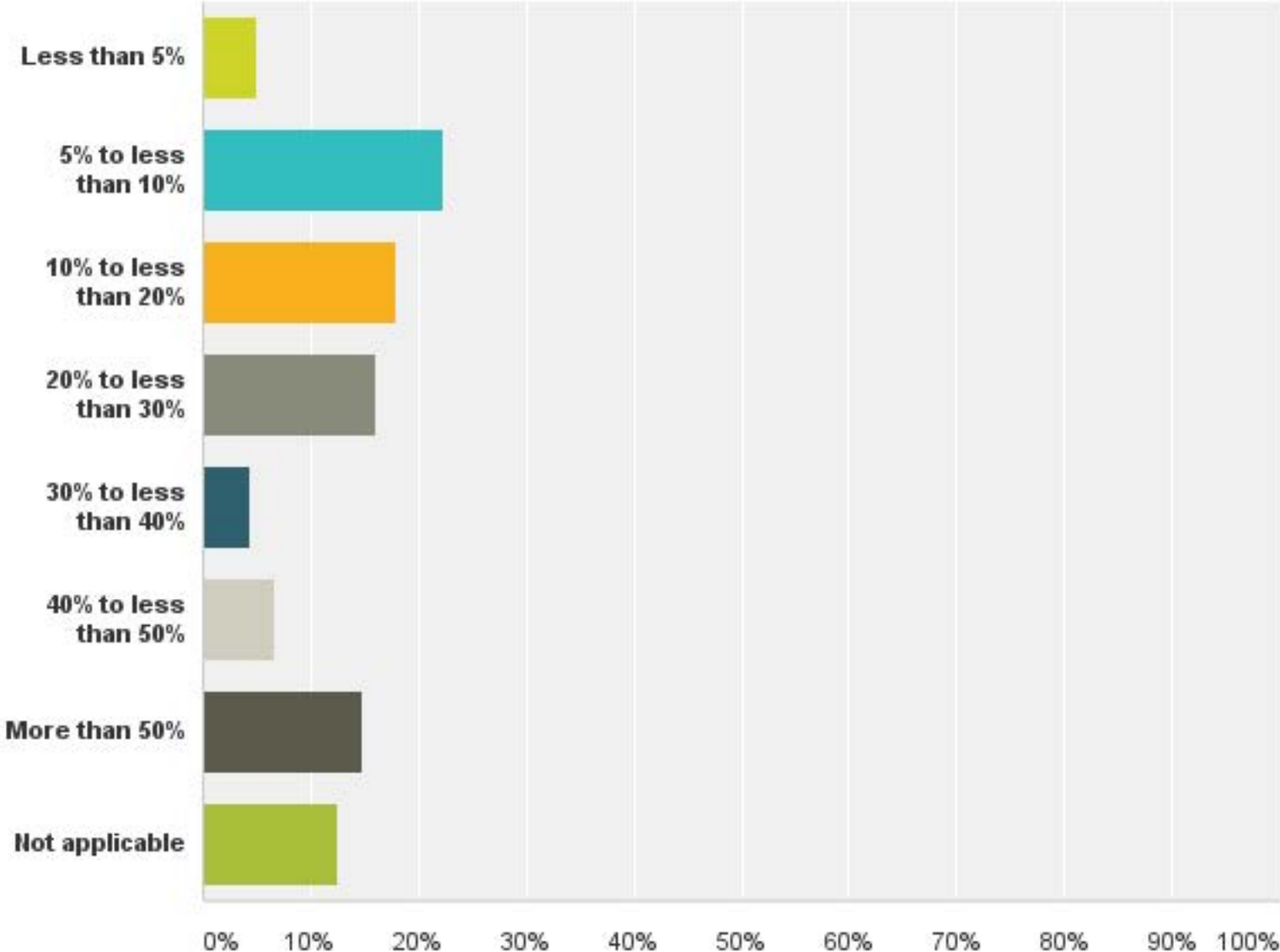
Q11 In ZAR, what is your salary range (package value EXCLUDING potential bonuses, but including allowances, etc.)?

Answered: 225 Skipped: 10



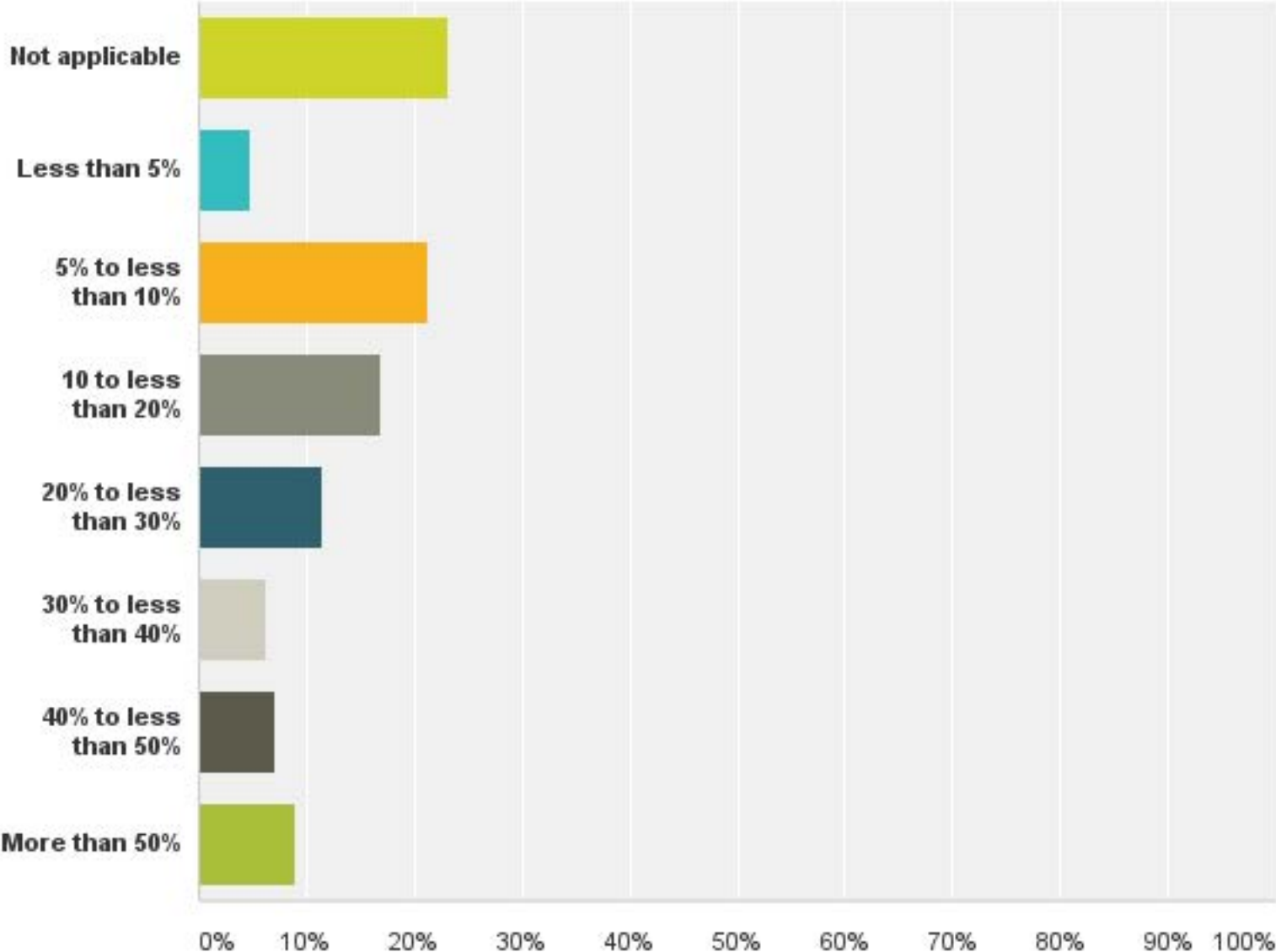
Q12 If you are entitled to a bonus, what is the maximum you could receive (expressed as a percentage of your base salary)?

Answered: 223 Skipped: 12



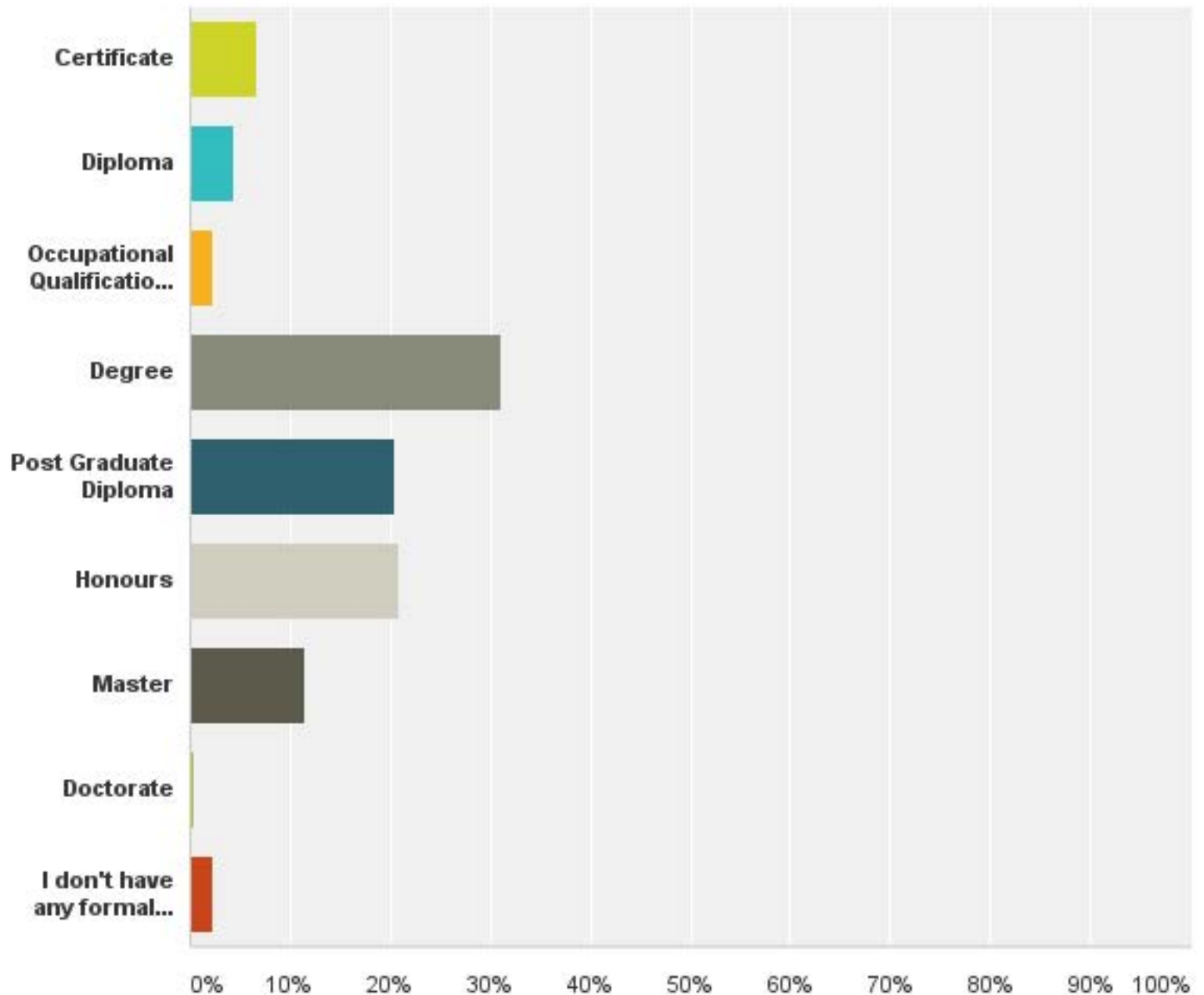
Q13 For LAST YEAR, what bonus did you receive (expressed as a percentage of your base salary)?

Answered: 225 Skipped: 10



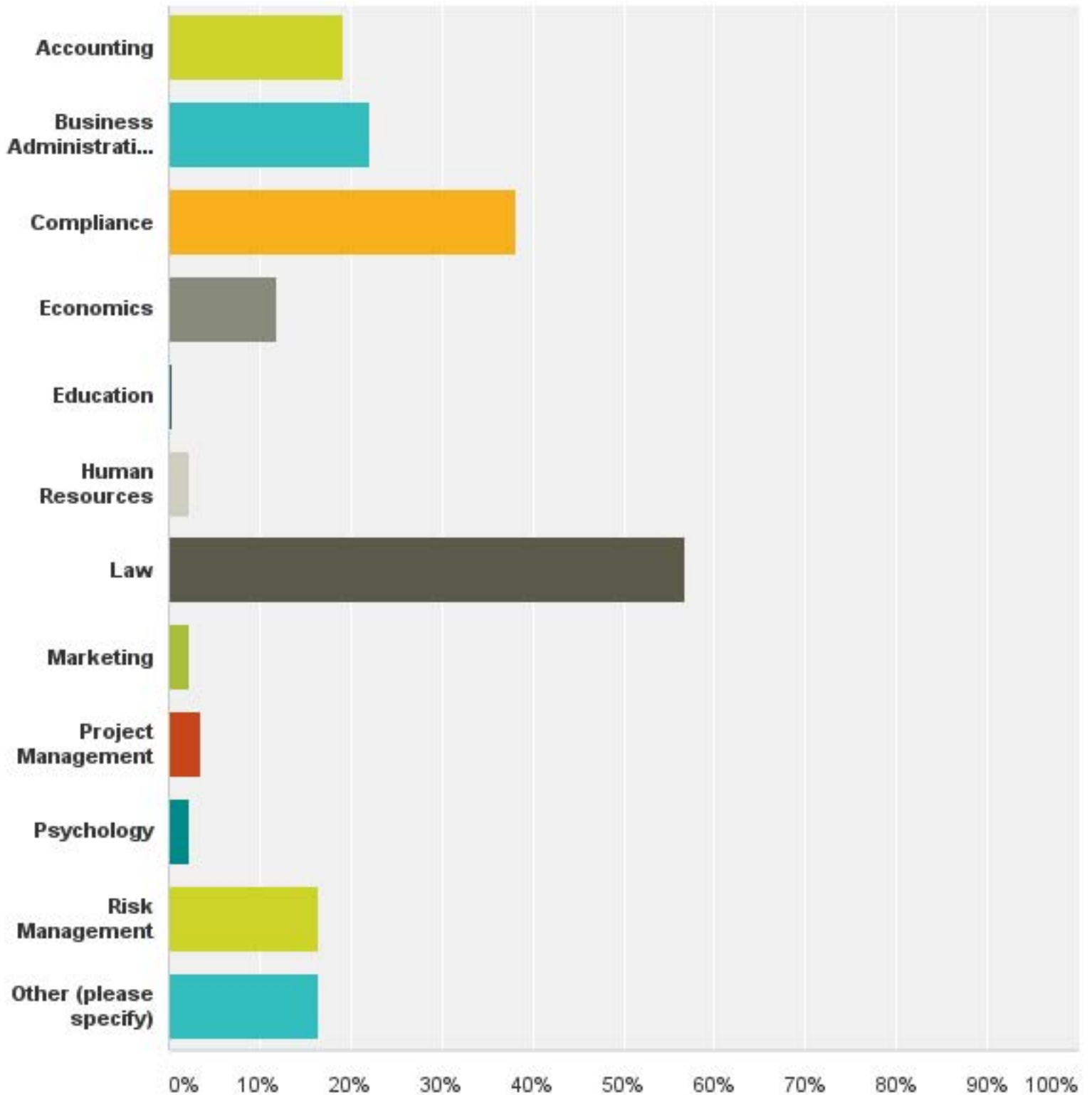
Q14 What is your HIGHEST level of tertiary education?

Answered: 225 Skipped: 10



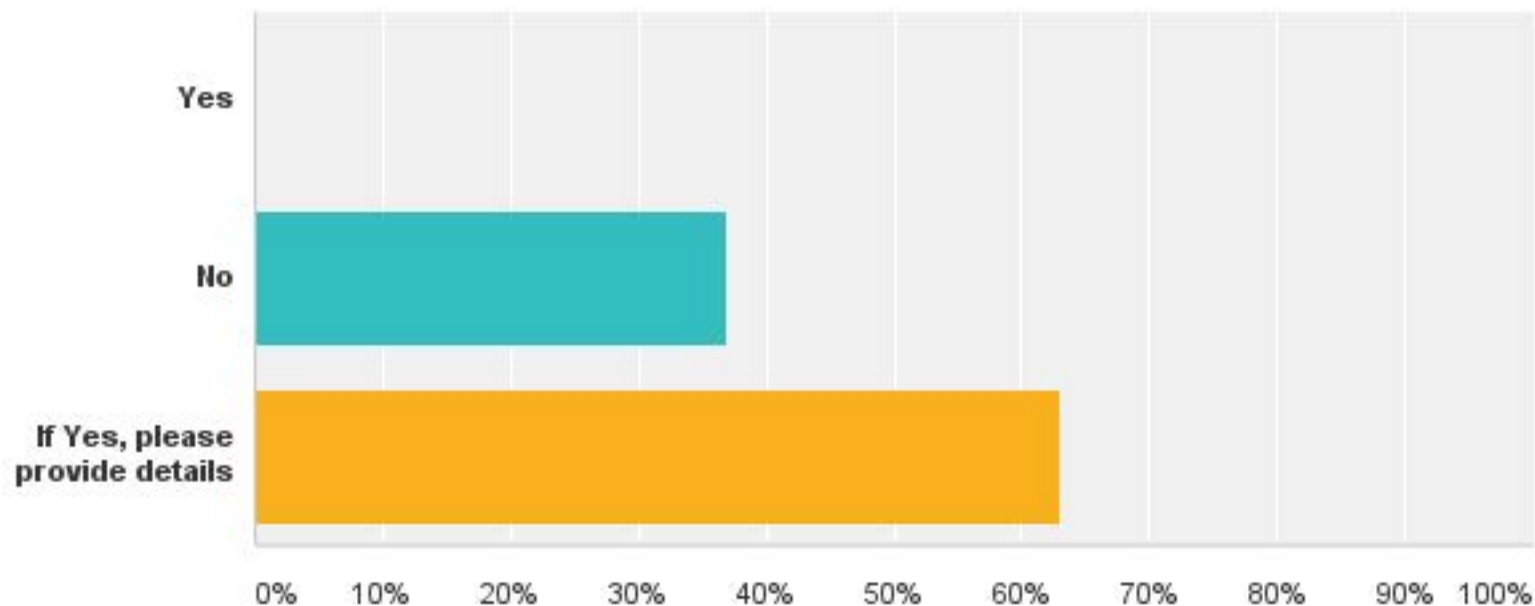
Q15 In what areas are your tertiary qualifications? Please select as many as are applicable.

Answered: 225 Skipped: 10



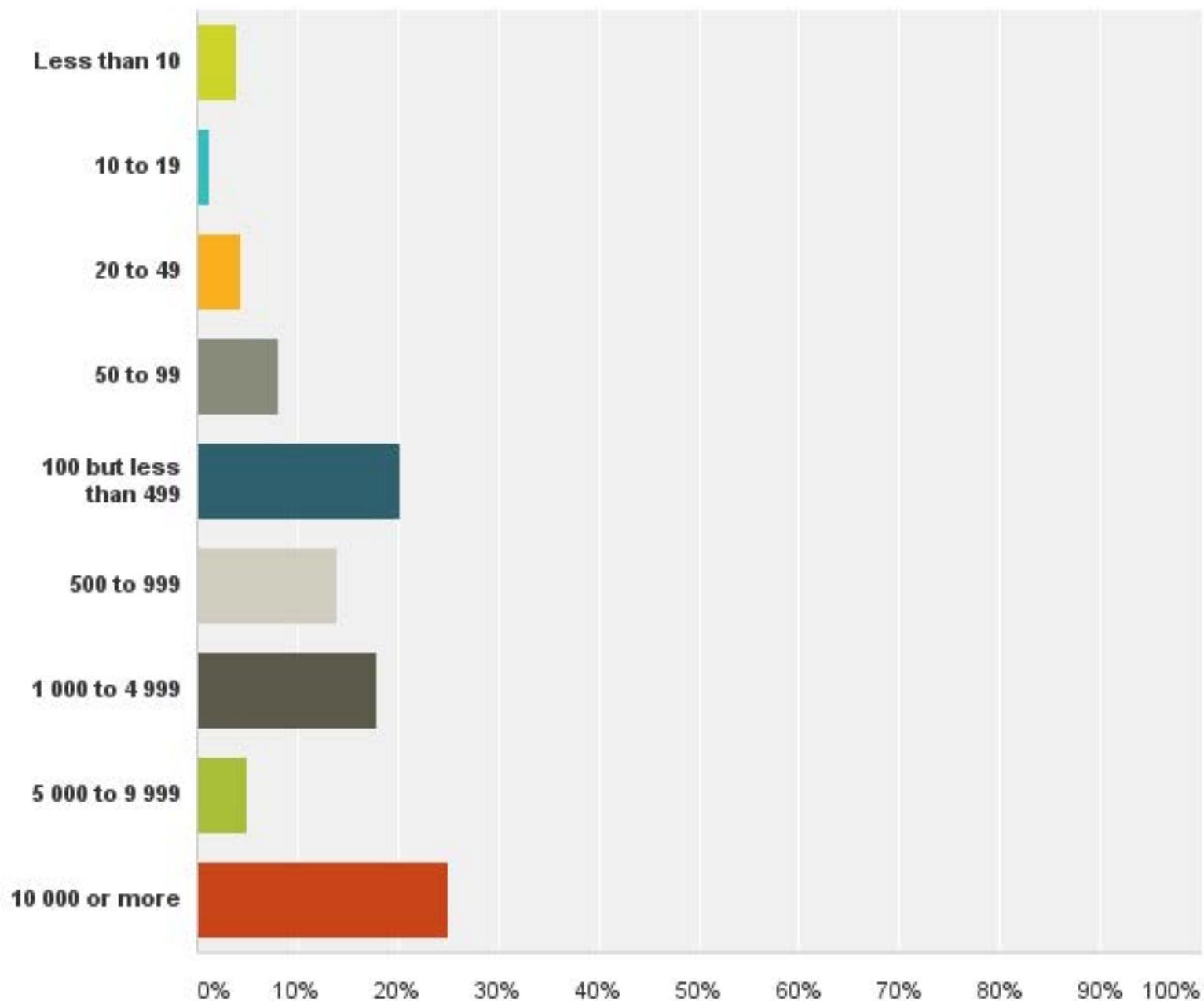
Q16 Do you have accreditations, certificates or designations from other professional bodies like the Compliance Institute SA?

Answered: 225 Skipped: 10



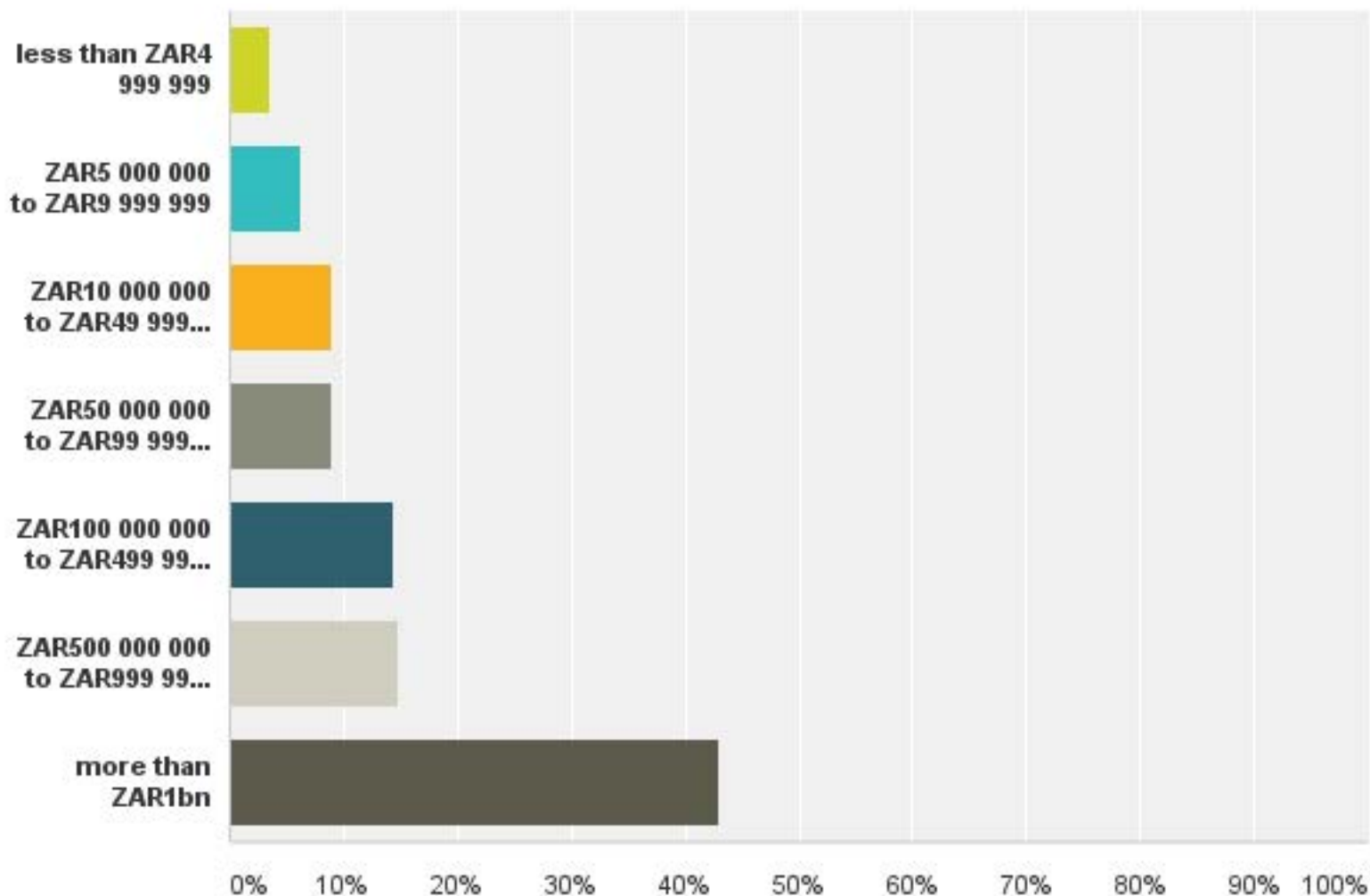
Q17 Approximately how many full-time employees work in your organisation?

Answered: 223 Skipped: 12



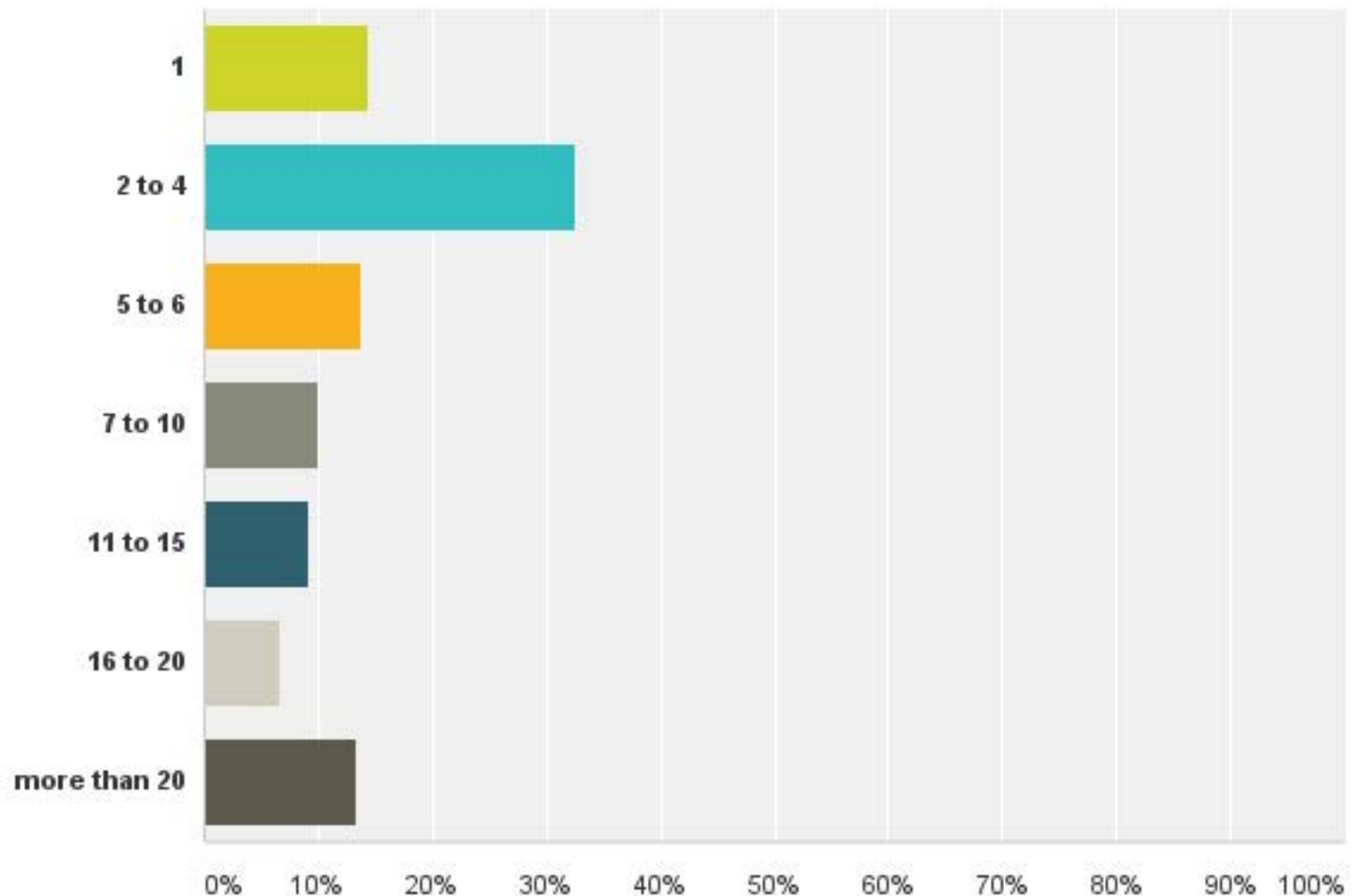
Q18 What was the annual revenue of your organisation in the last financial year or operating budget of your government department?

Answered: 223 Skipped: 12



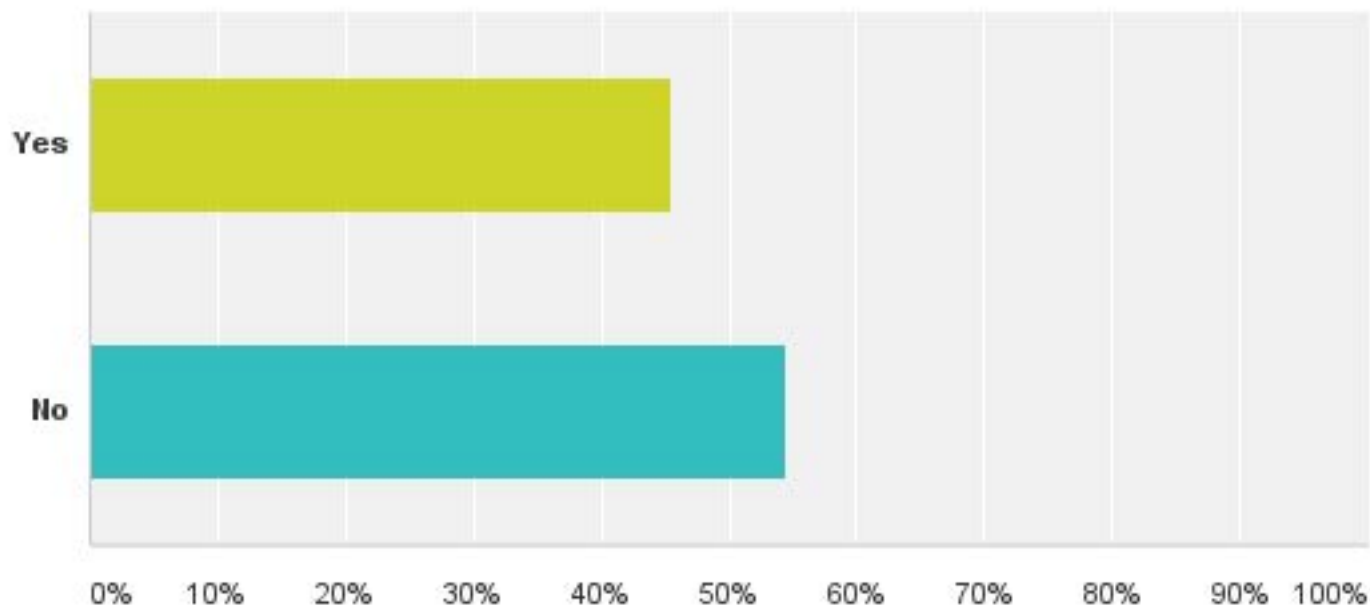
Q19 How many full-time people (including yourself) are employed in your compliance department?

Answered: 209 Skipped: 26



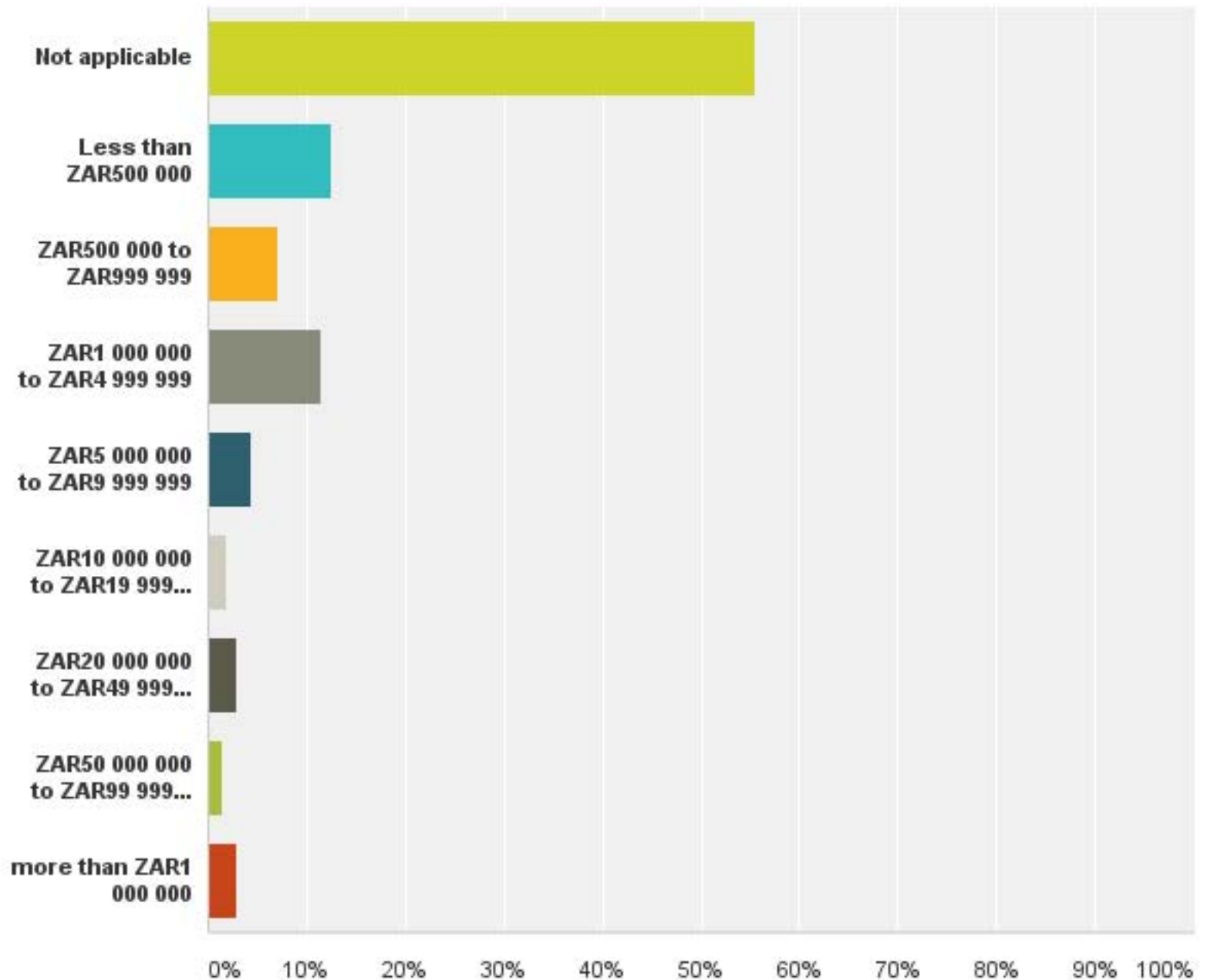
Q20 Do you have an allocated compliance budget and/or resources for which you are responsible?

Answered: 209 Skipped: 26



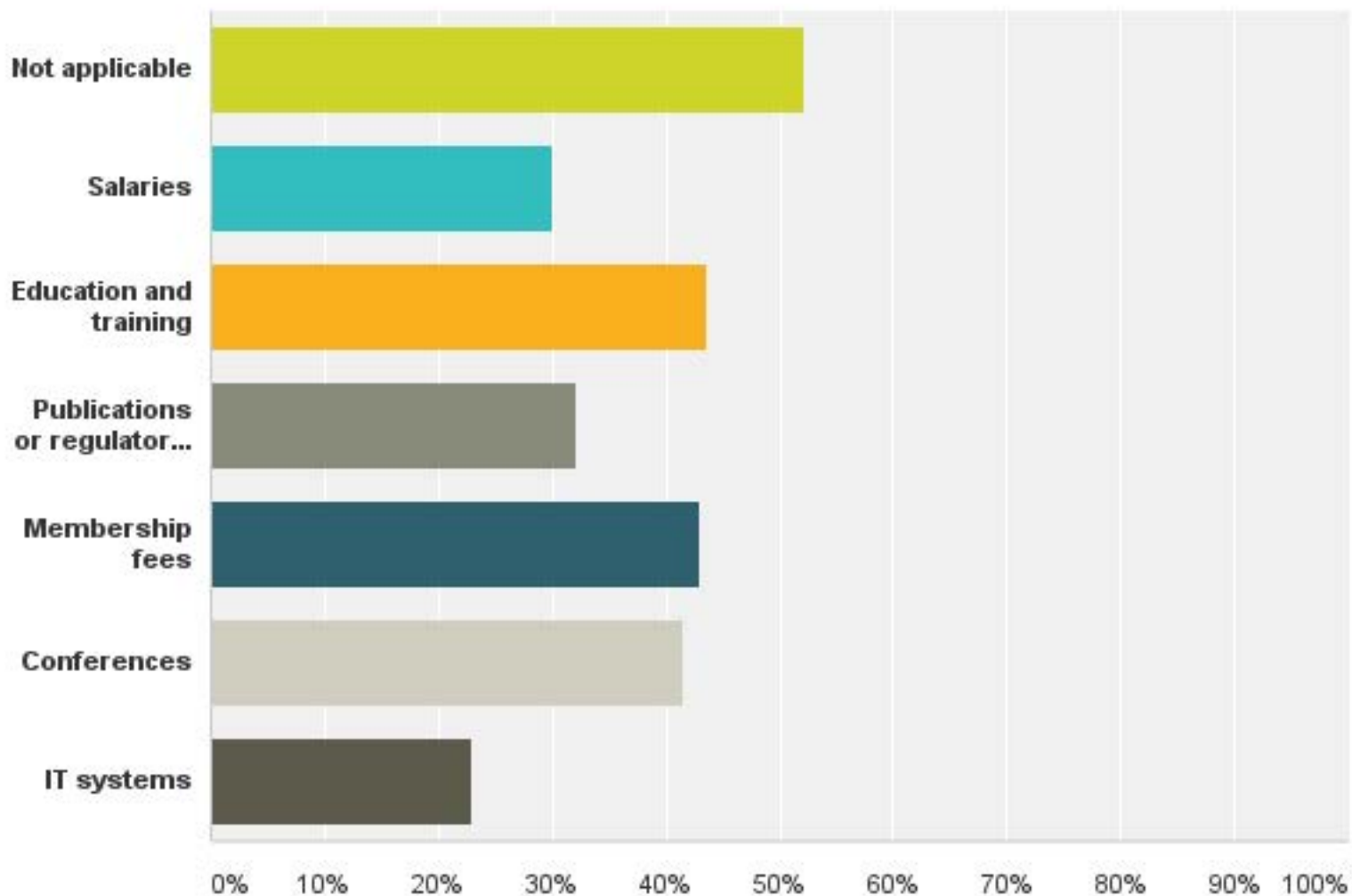
Q21 If Yes, please indicate the amount of your budget?

Answered: 209 Skipped: 26



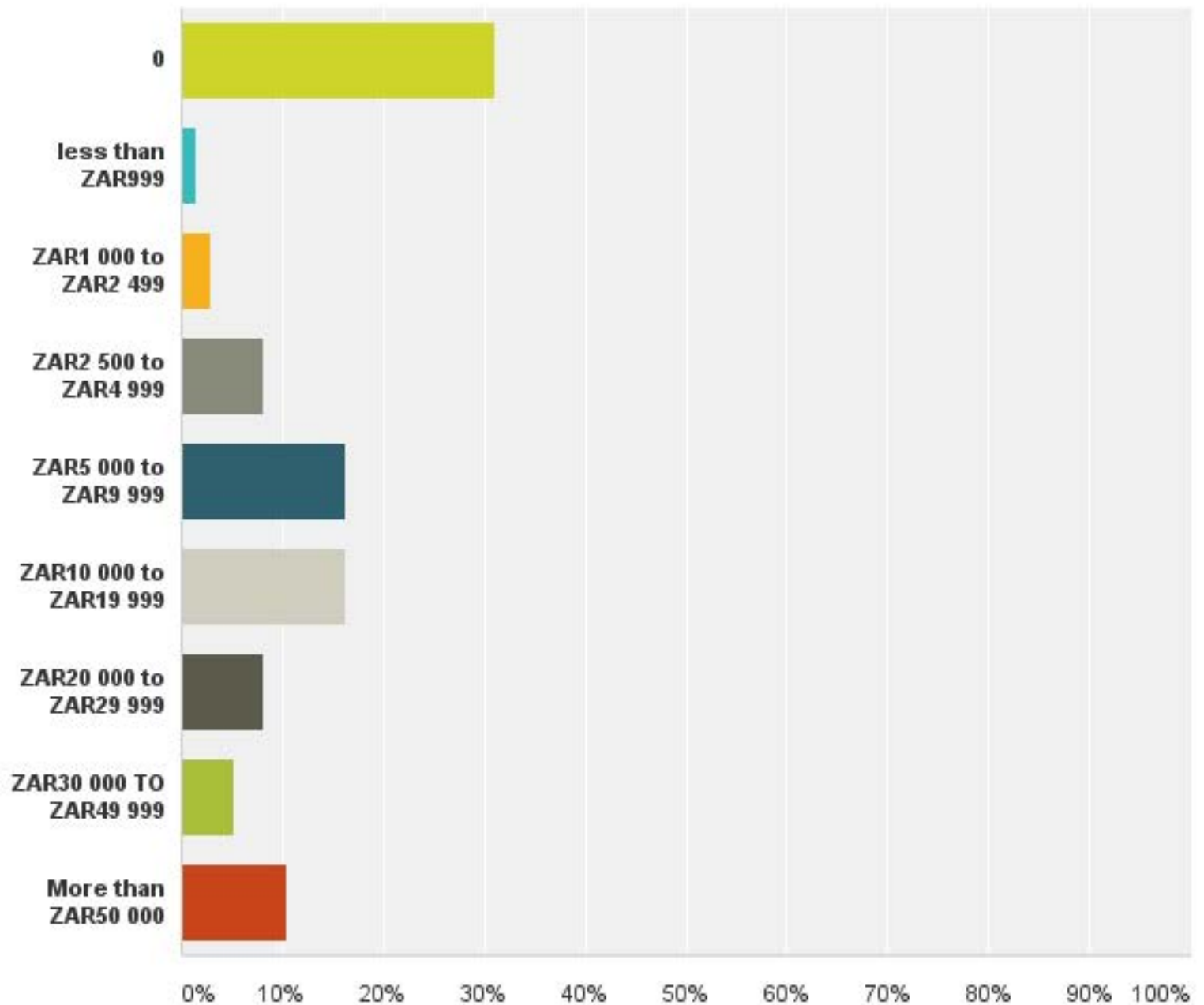
Q22 What does this budget cover? Please tick all applicable items

Answered: 209 Skipped: 26



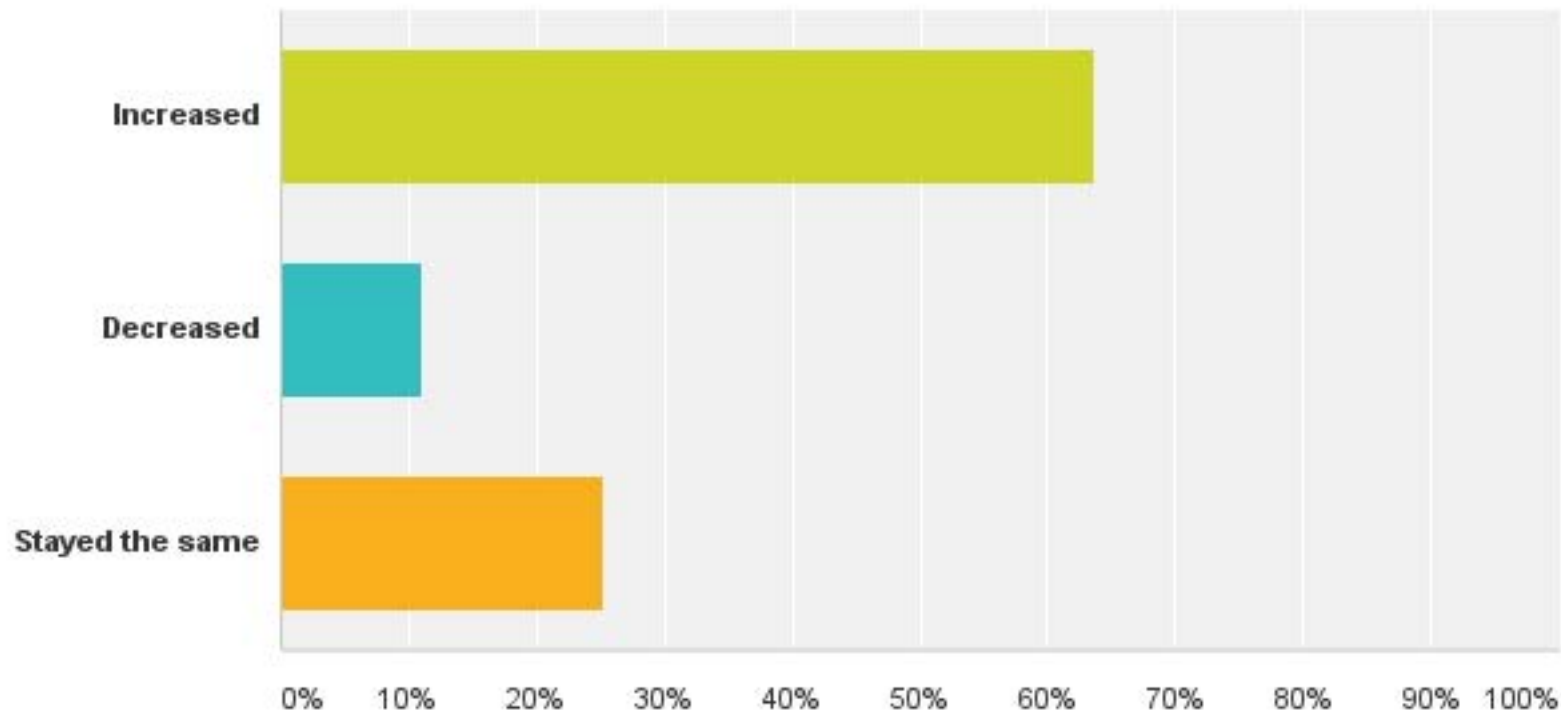
Q23 What is your annual budget allocation for staff training, per staff member, within the compliance function?

Answered: 209 Skipped: 26



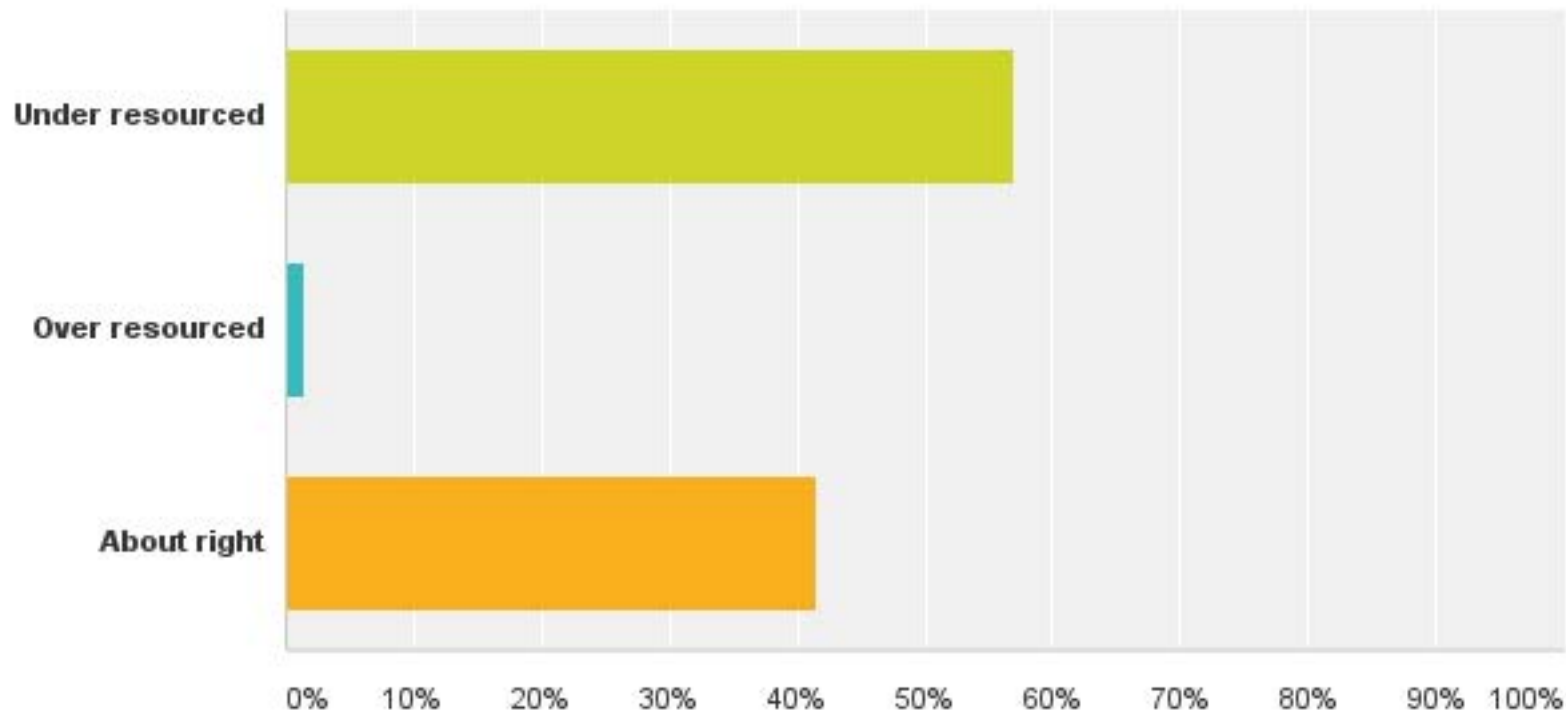
Q24 In the past 5 years, has the resourcing and investment in your compliance function

Answered: 209 Skipped: 26



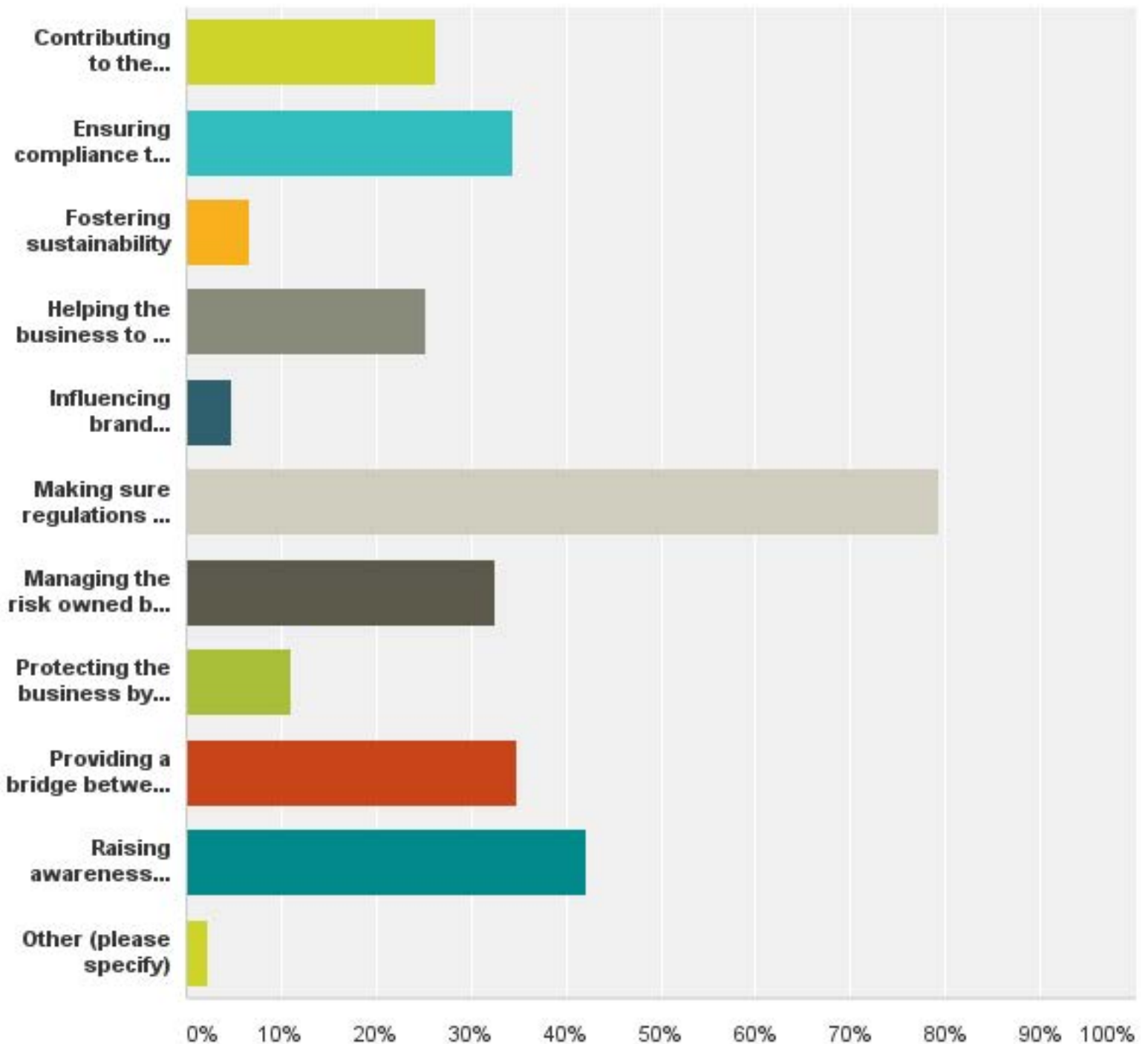
Q25 Do you feel that your compliance department is:

Answered: 209 Skipped: 26



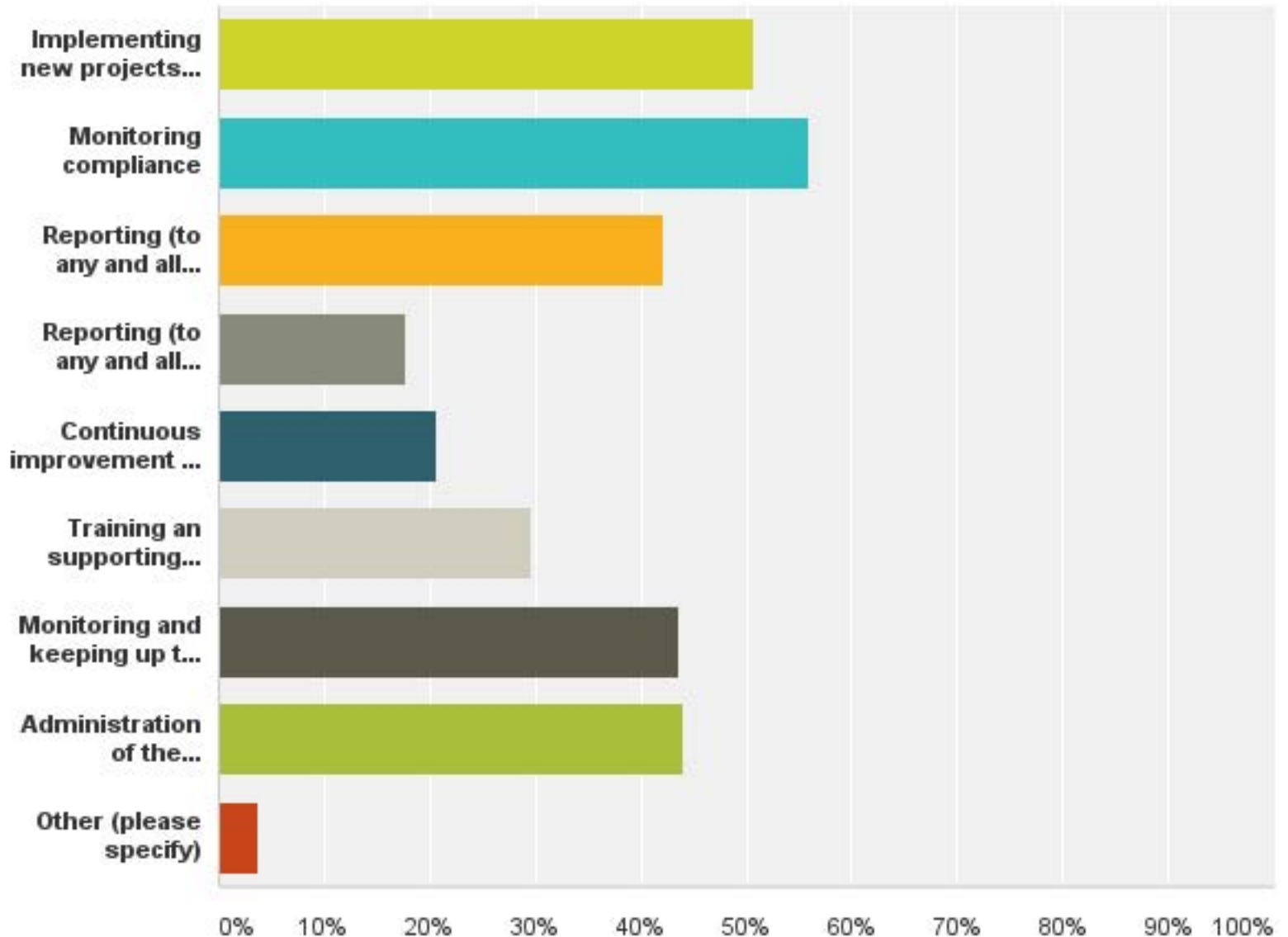
Q26 What do you believe are the three top primary roles of compliance in your organisation?

Answered: 209 Skipped: 26



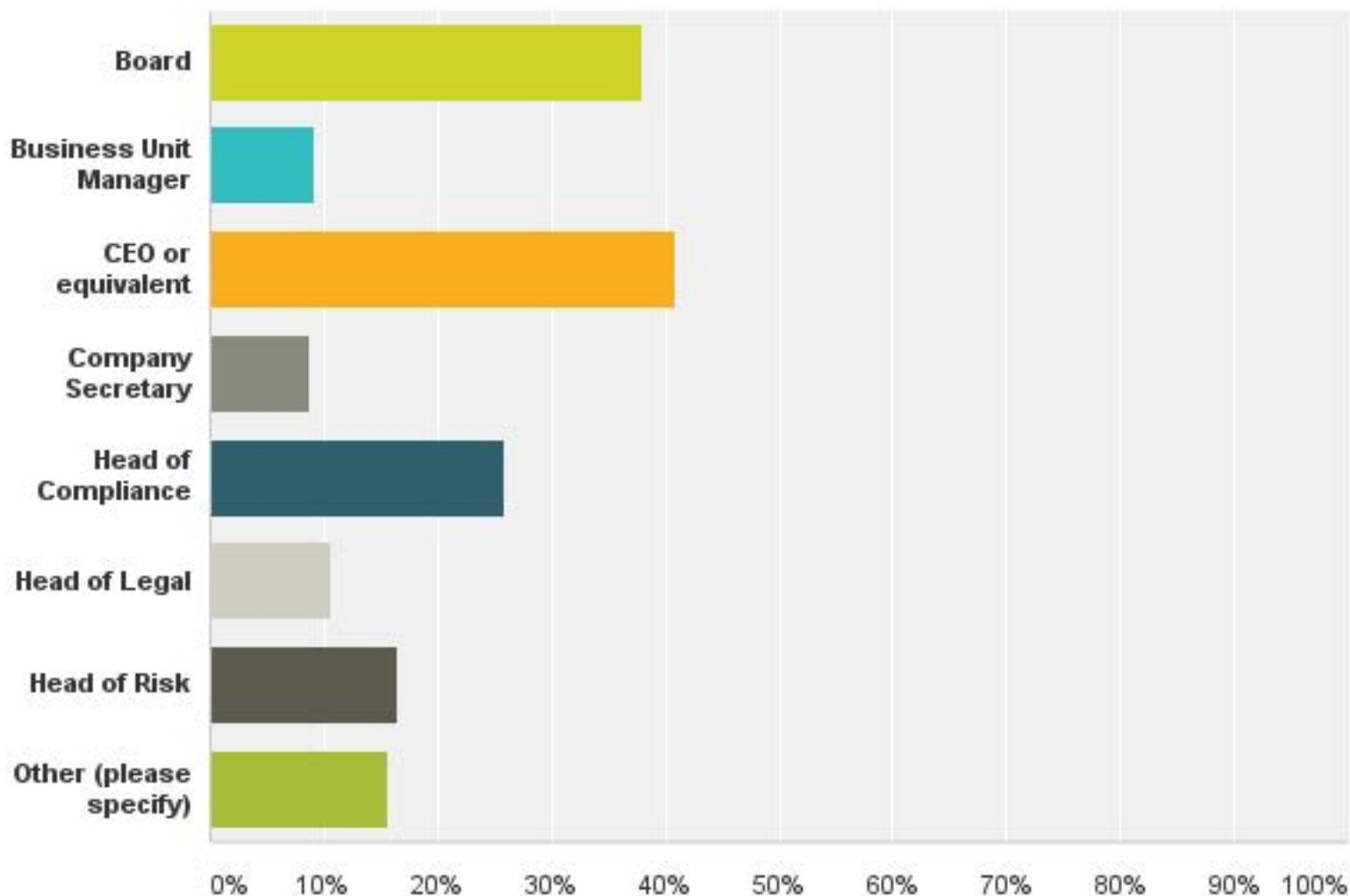
Q27 What aspects of your compliance activities take up most of your resources on a regular basis? Please select the top three.

Answered: 209 Skipped: 26



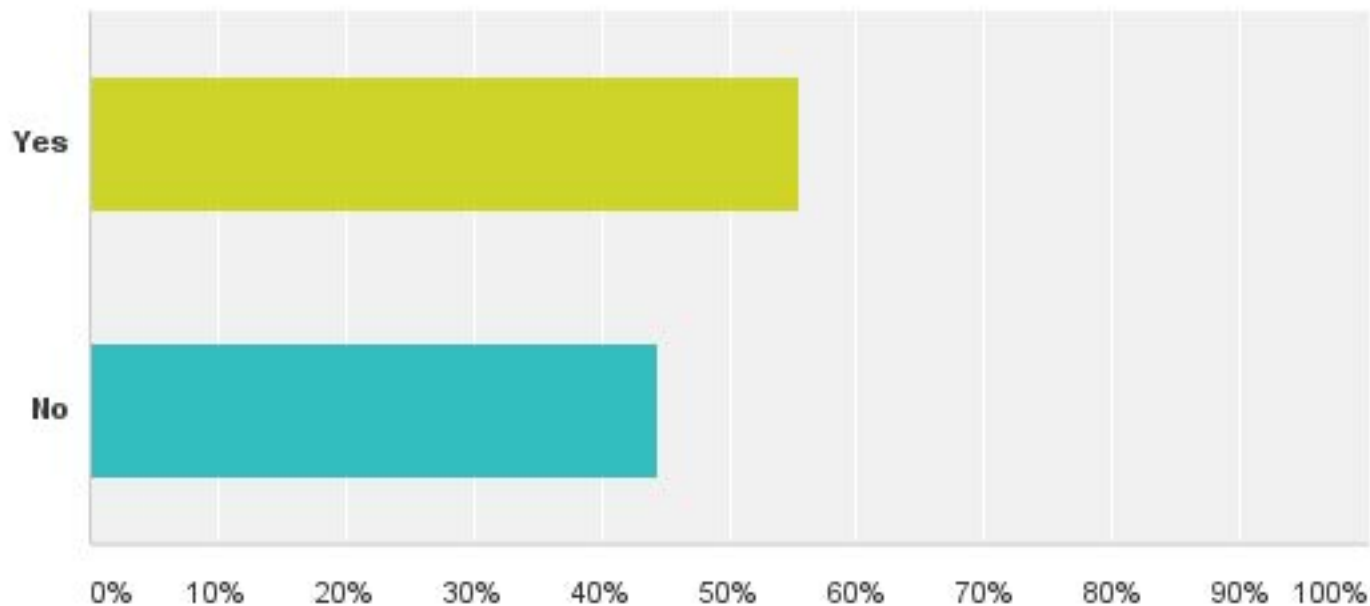
Q28 Where does your compliance department report? You may choose more than one if you have multiple reporting lines.

Answered: 205 Skipped: 30



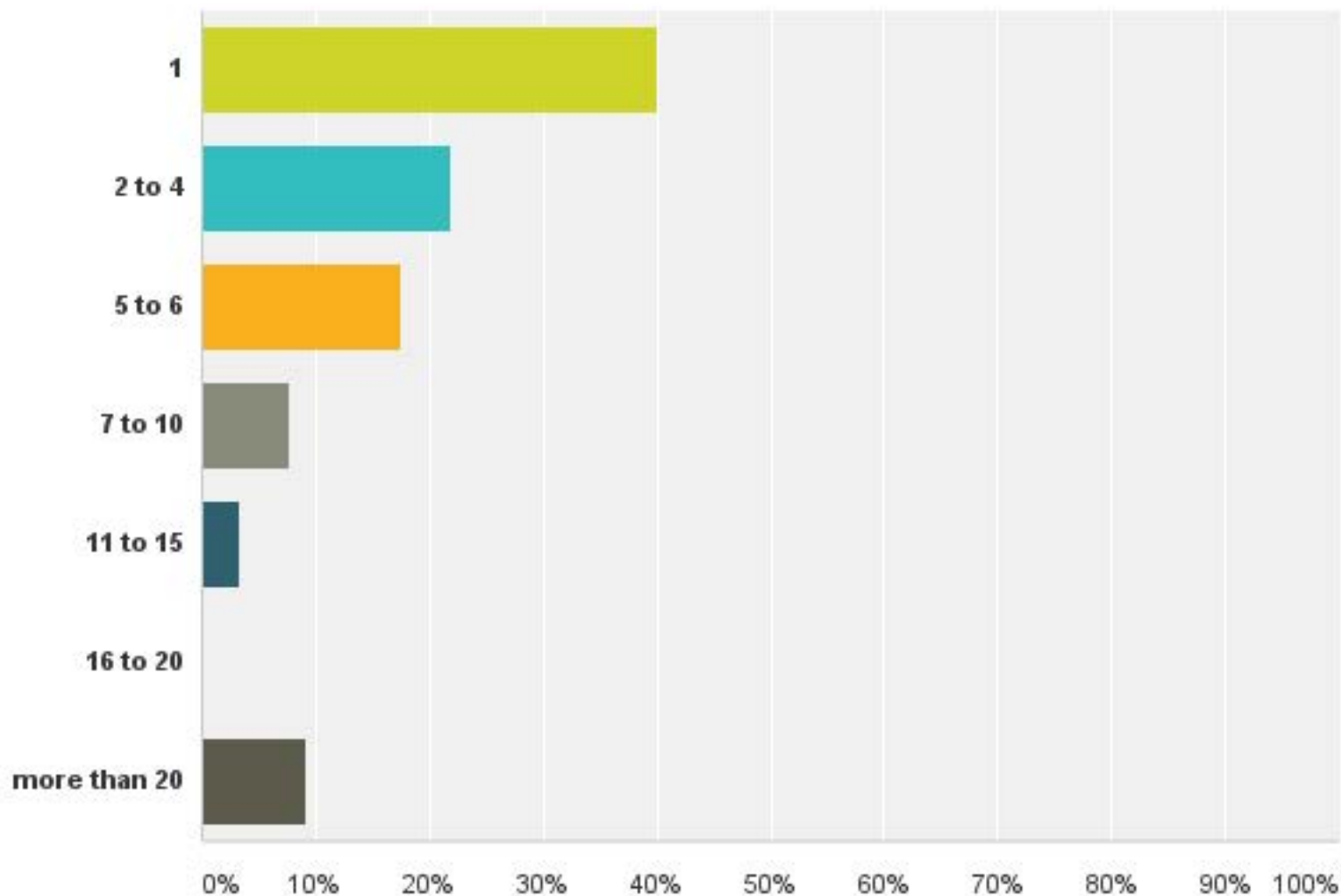
Q29 Does your organisation have a compliance committee?

Answered: 205 Skipped: 30



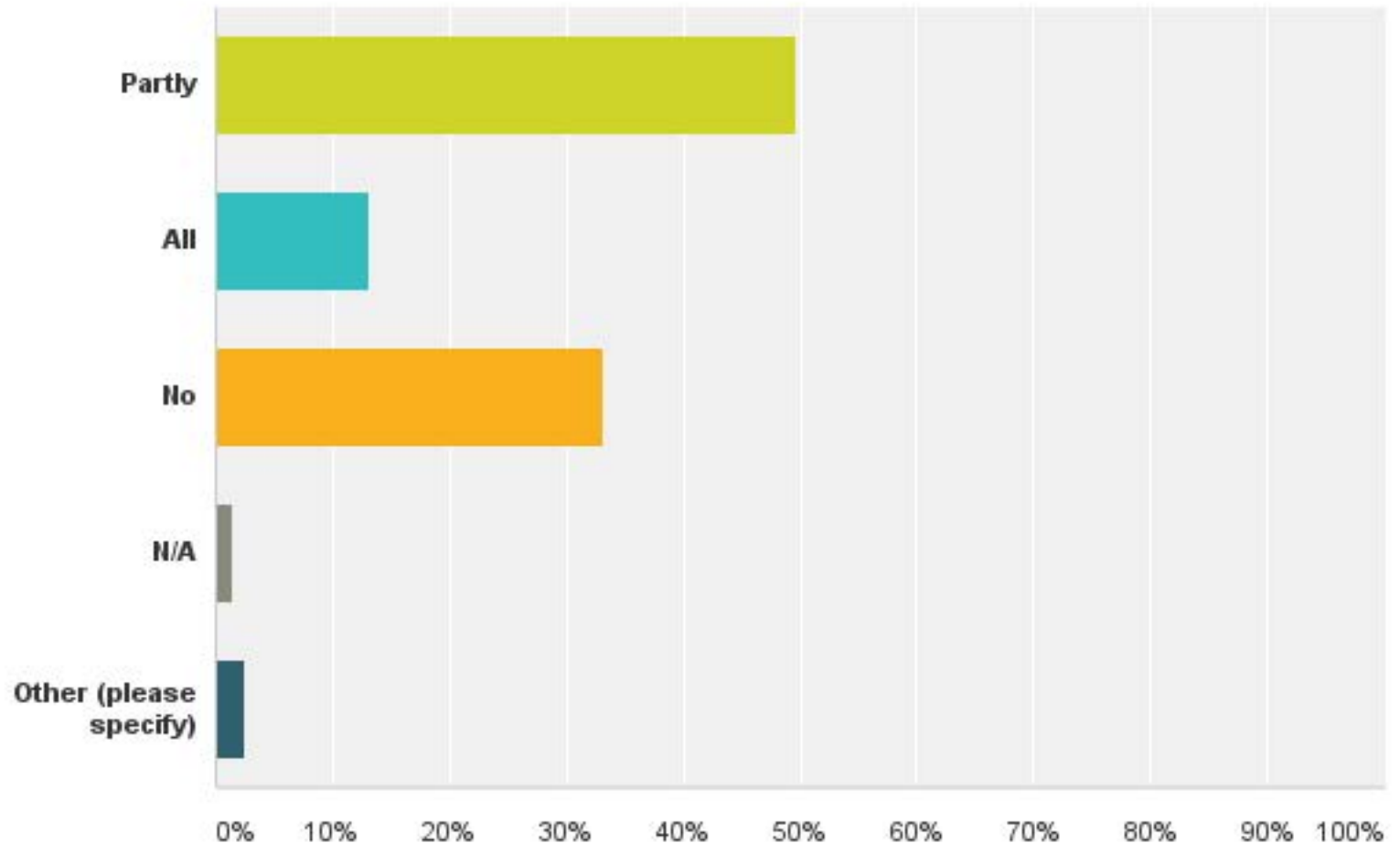
Q30 How many compliance teams or groups do you have across your organisation?

Answered: 205 Skipped: 30



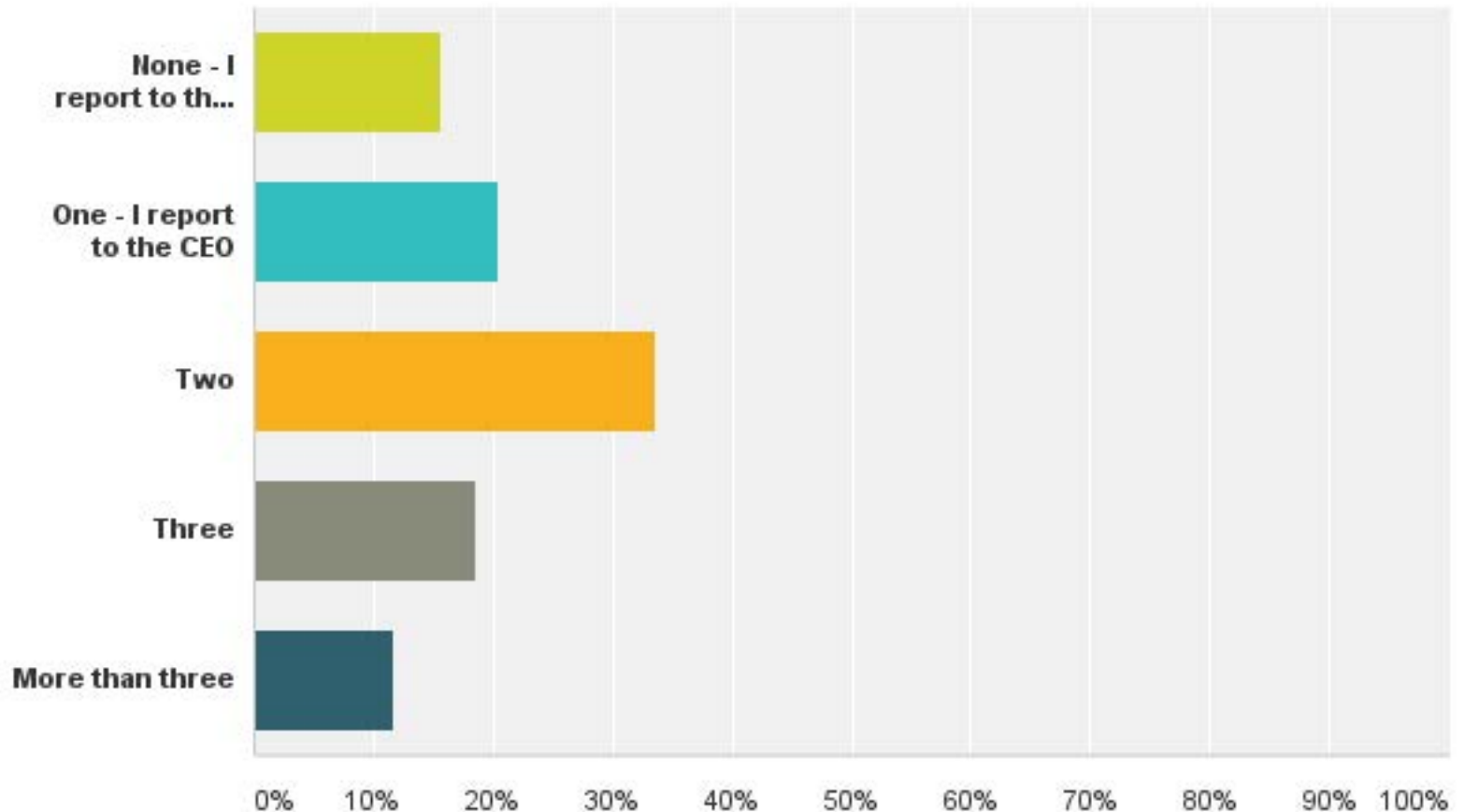
Q31 Are any of the compliance and risk functions in your organisation combined?

Answered: 205 Skipped: 30



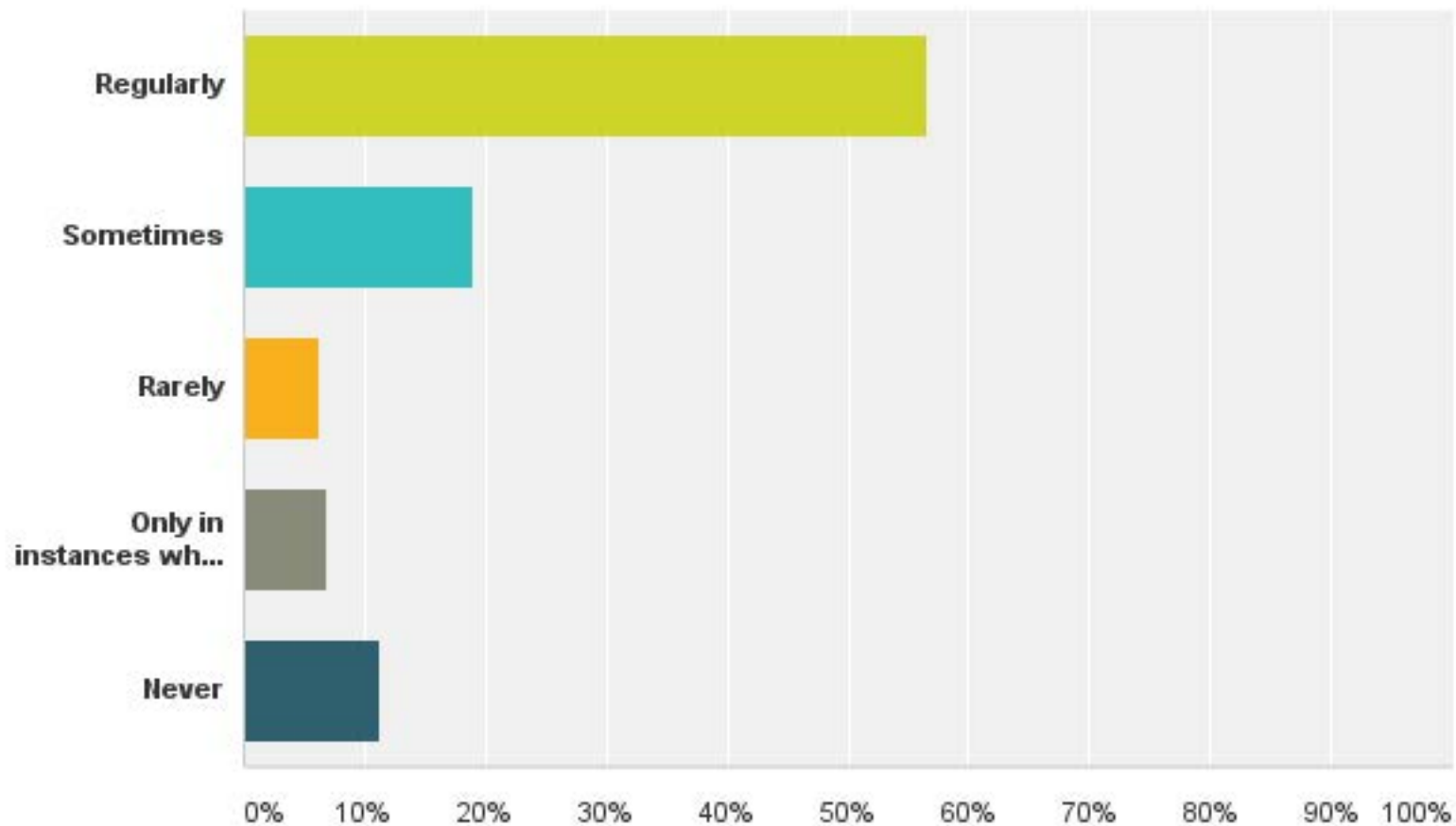
Q32 How many layers are there between you and the board (or equivalent) of your organisation?

Answered: 205 Skipped: 30



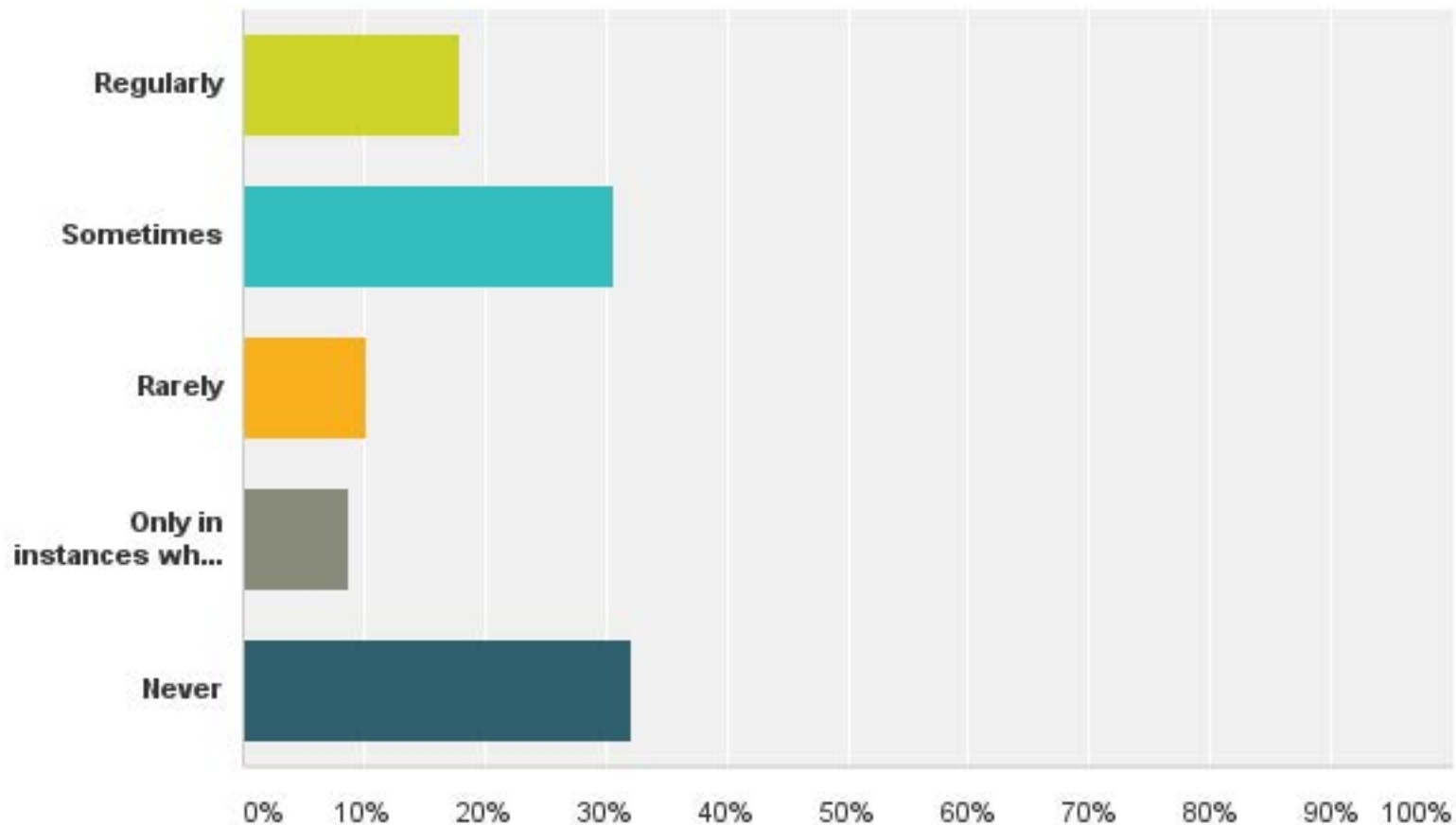
Q33 Do you prepare reports for the board?

Answered: 205 Skipped: 30



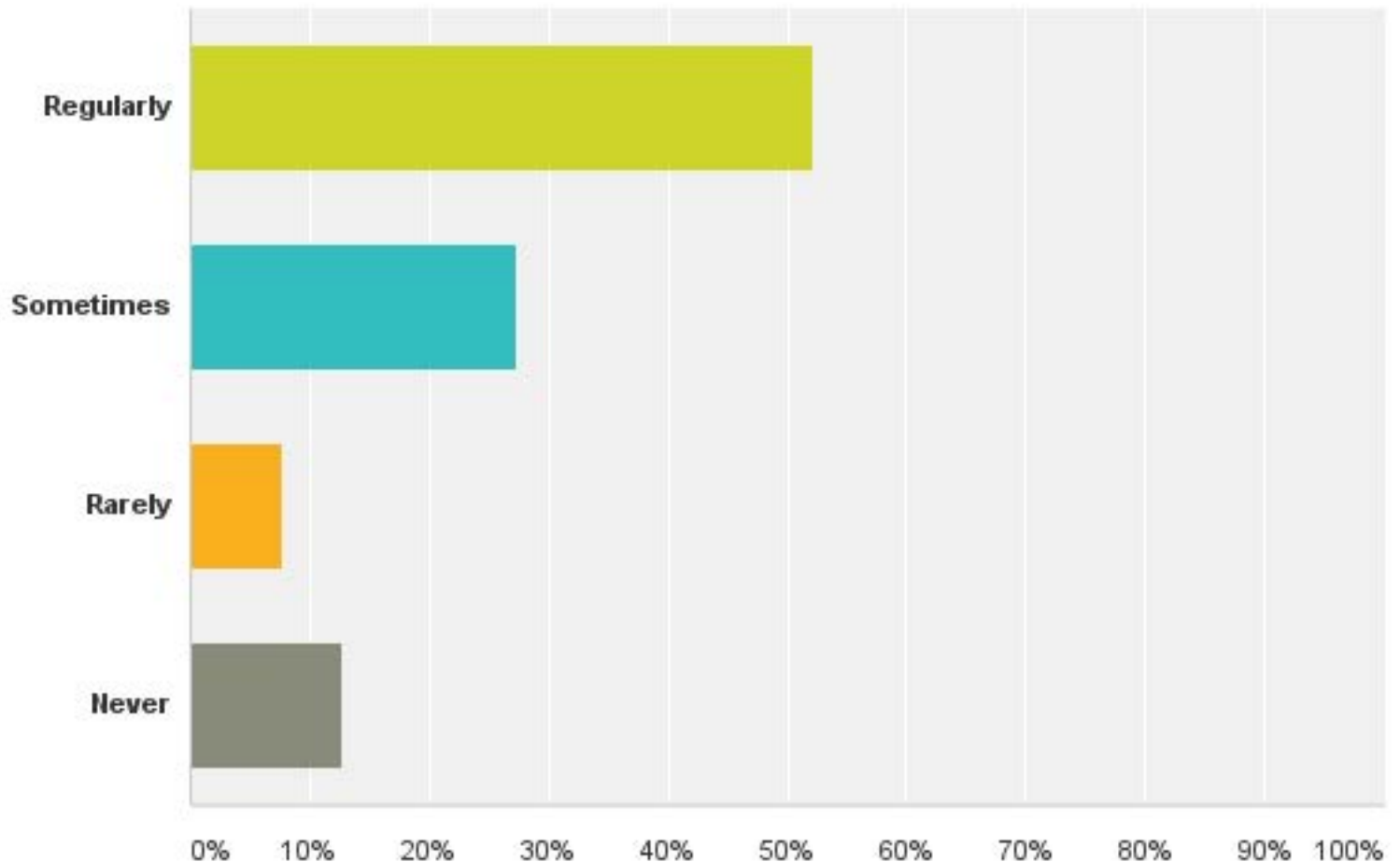
Q34 Do you have to do presentations to the board?

Answered: 205 Skipped: 30



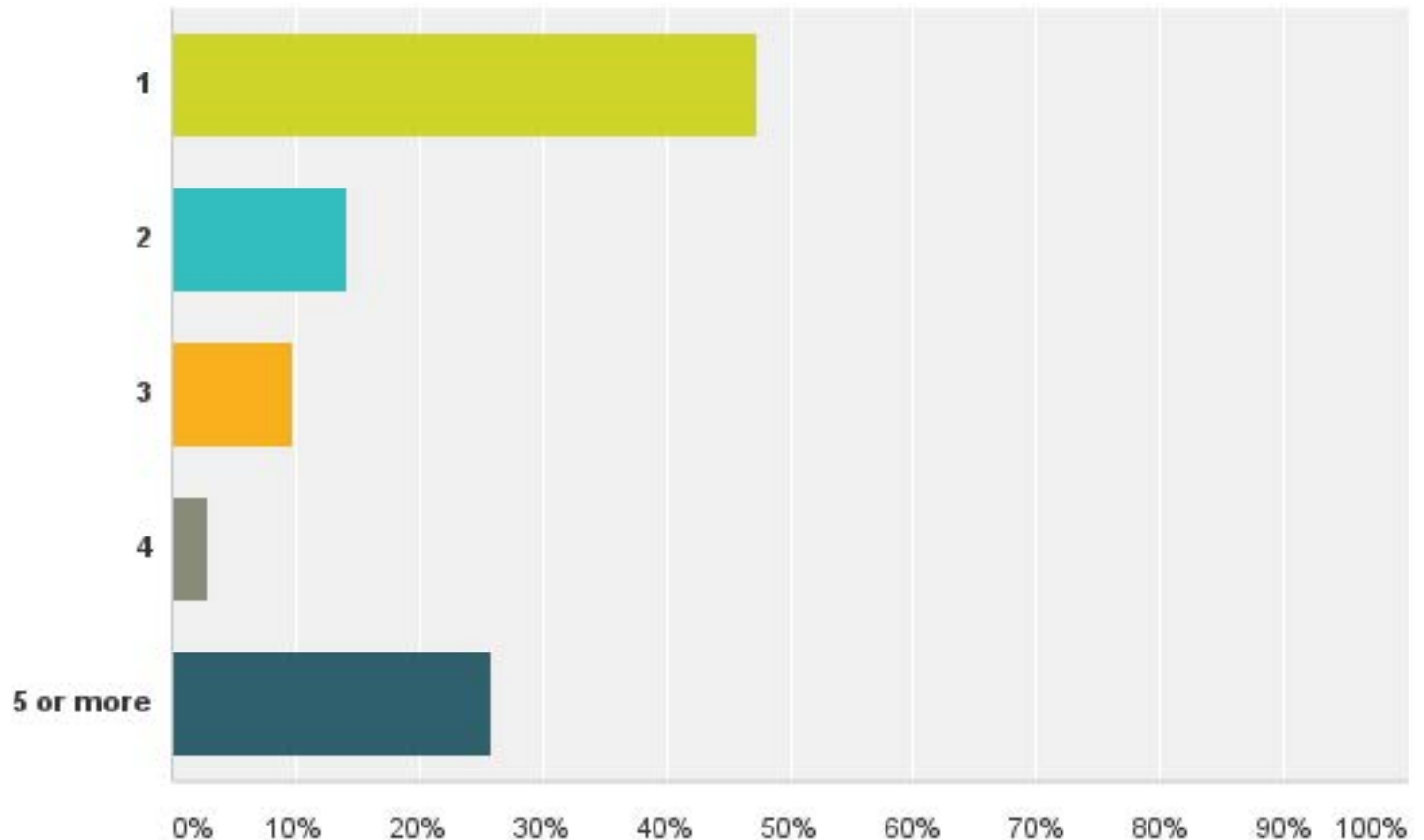
Q35 Do you interact with the regulators on behalf of your organisation?

Answered: 205 Skipped: 30



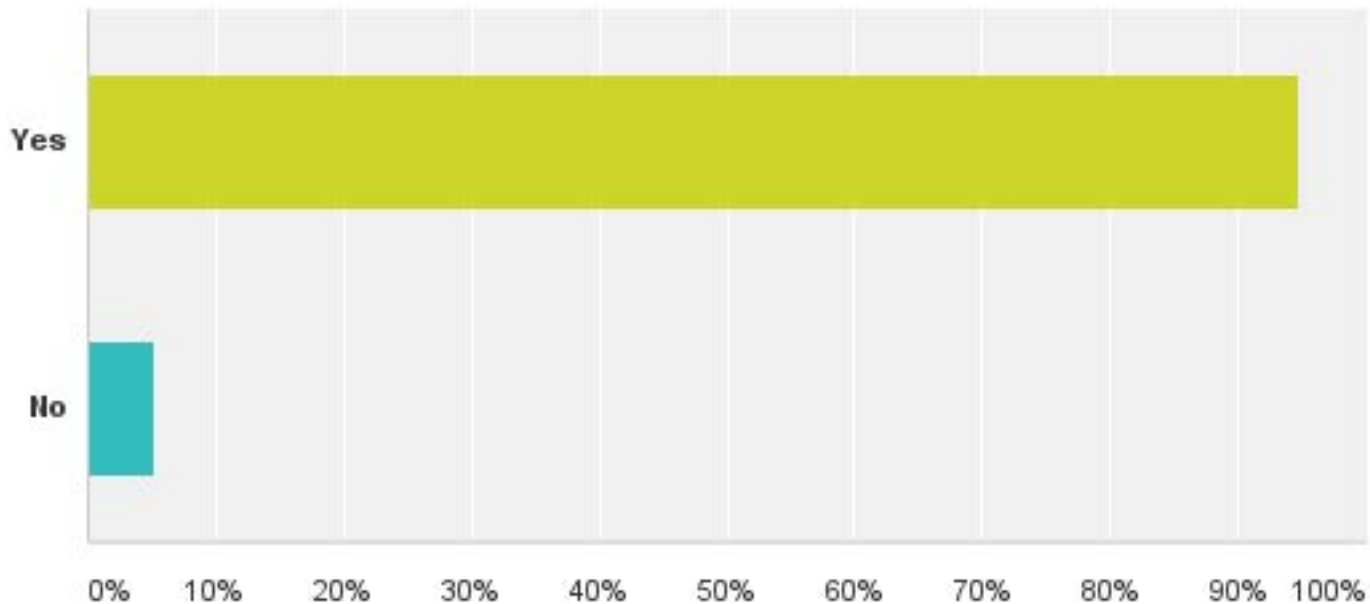
Q36 Please indicate how many jurisdictions for which you are responsible for in terms of compliance?

Answered: 205 Skipped: 30



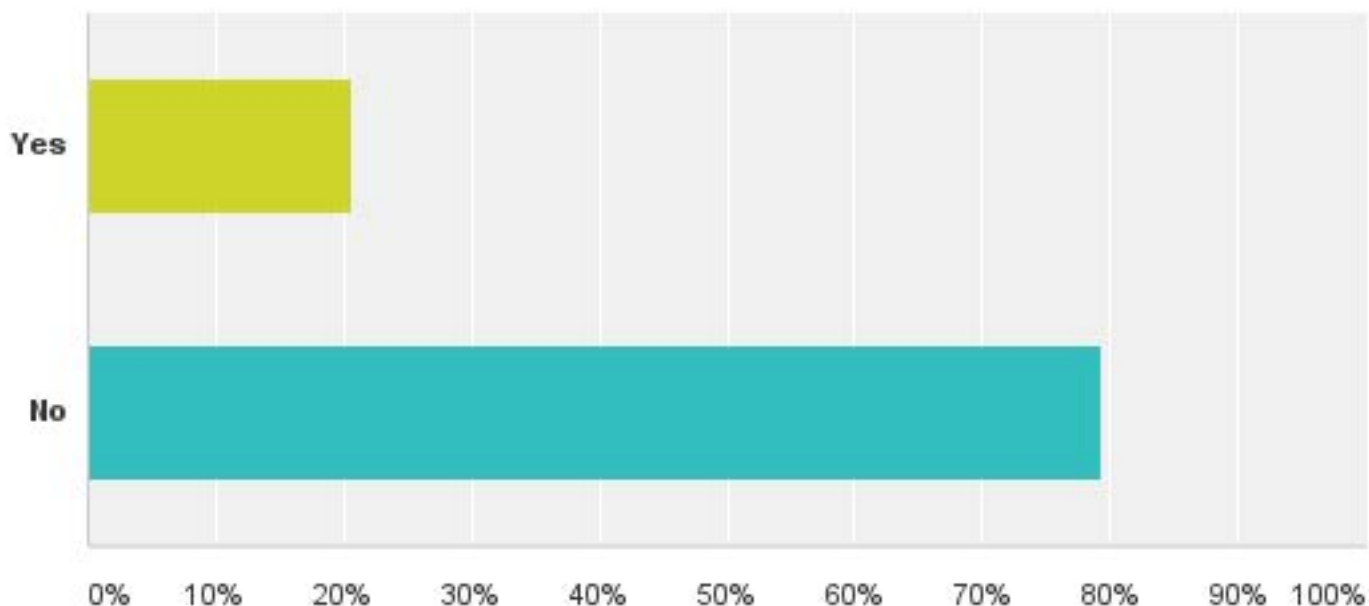
Q37 Are you a member of the Compliance Institute SA?

Answered: 193 Skipped: 42



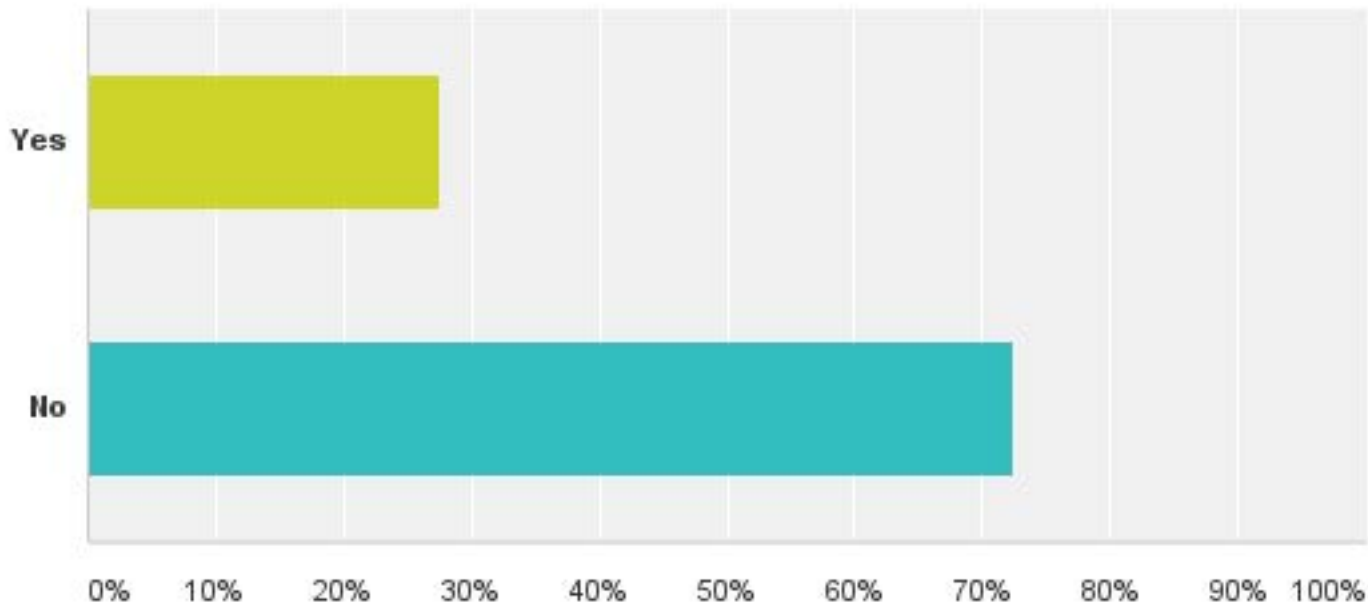
Q38 Have you written the Board I exam?

Answered: 193 Skipped: 42



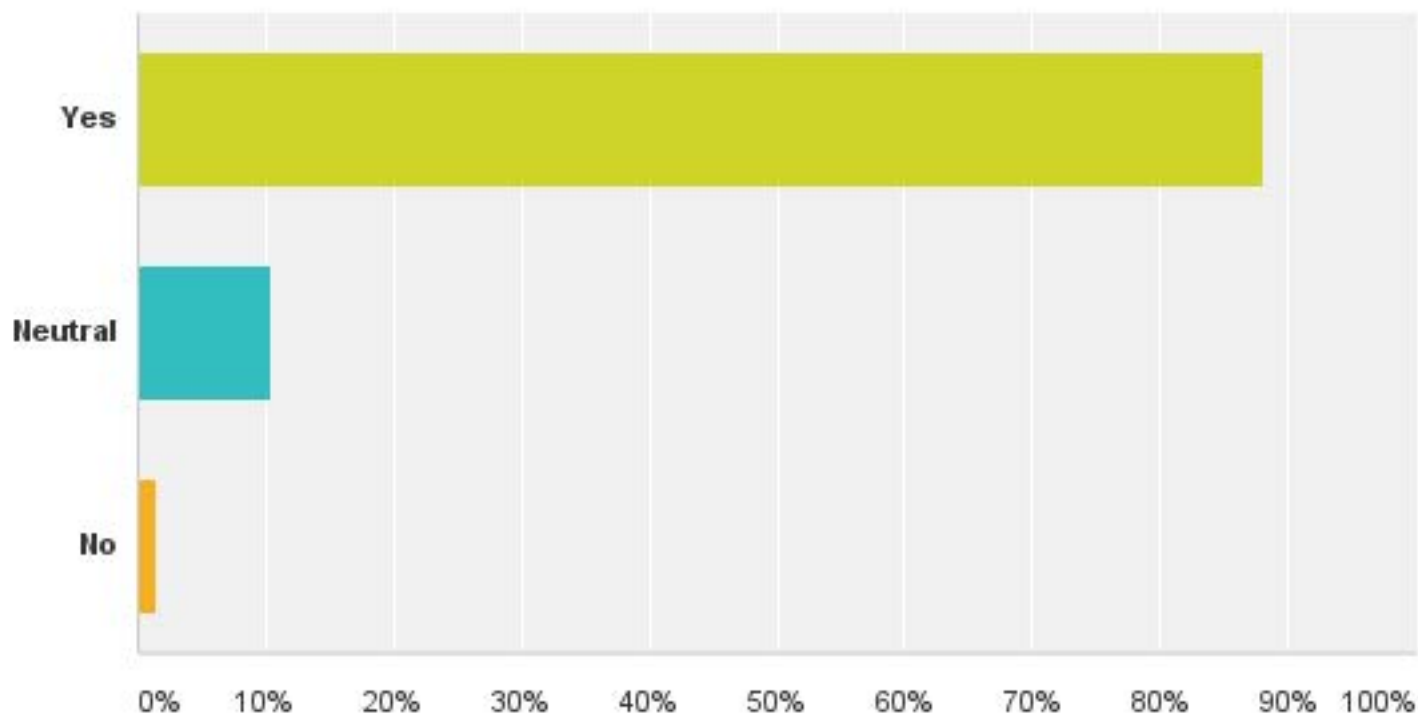
Q39 Has the Compliance Practitioner designation been conferred upon you?

Answered: 193 Skipped: 42



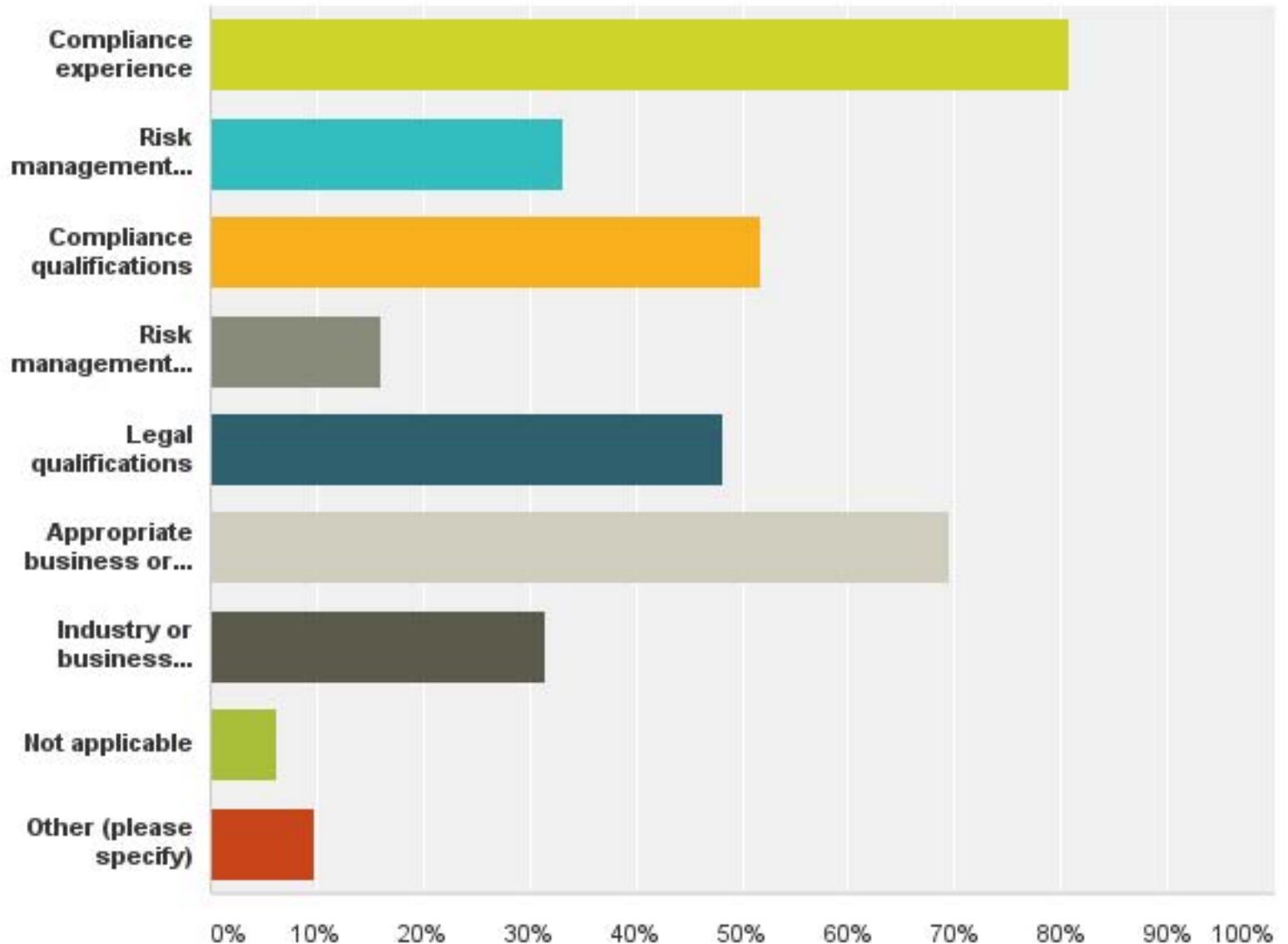
Q40 Do you think that specialist compliance training is necessary for someone in a compliance role?

Answered: 193 Skipped: 42



Q41 What are some of the criteria that you look for when selecting staff for a compliance role?

Answered: 193 Skipped: 42



Q42 Which of the following skills training do you feel would benefit a compliance officer?

Answered: 193 Skipped: 42

